

REPRESENTATIONS OF WREATH PRODUCTS ON COHOMOLOGY OF DE CONCINI-PROCESI COMPACTIFICATIONS

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ABSTRACT. The wreath product $W(r, n)$ of the cyclic group of order r and the symmetric group S_n acts on the corresponding projective hyperplane complement, and on its wonderful compactification as defined by De Concini and Procesi. We give a formula for the characters of the representations of $W(r, n)$ on the cohomology groups of this compactification, extending the result of Ginzburg and Kapranov in the $r = 1$ case. As a corollary, we get a formula for the Betti numbers which generalizes the result of Yuzvinsky in the $r = 2$ case. Our method involves applying to the nested-set stratification a generalization of Joyal's theory of tensor species, which includes a link between polynomial functors and plethysm for general r . We also give a new proof of Lehrer's formula for the representations of $W(r, n)$ on the cohomology groups of the hyperplane complement.

INTRODUCTION

Let r and n be positive integers. Write $W(r, n)$ for the *wreath product* $\mu_r \wr S_n$ of the cyclic group μ_r of complex r th roots of 1 and the symmetric group S_n . Let $V(r, n)$ be the standard vector space on which $W(r, n)$ acts irreducibly as a complex reflection group, with hyperplane arrangement $\mathcal{A}(r, n)$ (in this context $W(r, n)$ is usually called $G(r, 1, n)$). Explicitly, $V(1, n) = \mathbb{C}^n / \mathbb{C}(1, 1, \dots, 1)$, $W(1, n) = S_n$ acts on $V(1, n)$ by permuting the coordinates, and

$$\mathcal{A}(1, n) = \{ \{(z_1, \dots, z_n) \in \mathbb{C}^n \mid z_i = z_j\} / \mathbb{C}(1, 1, \dots, 1) \mid 1 \leq i \neq j \leq n \}.$$

If $r \geq 2$, $V(r, n) = \mathbb{C}^n$, $W(r, n)$ acts by permutations of coordinates composed with multiplying coordinates by r th roots of 1, and

$$\mathcal{A}(r, n) = \{ \{z_i = 0\} \mid 1 \leq i \leq n \} \cup \{ \{z_i = \zeta z_j\} \mid 1 \leq i \neq j \leq n, \zeta \in \mu_r \}.$$

Write $\mathcal{M}(r, n)$ for the *projective hyperplane complement*, i.e. the set of points in $\mathbb{P}(V(r, n))$ which (viewed as lines in $V(r, n)$) lie in none of the hyperplanes in $\mathcal{A}(r, n)$; this is a nonsingular irreducible affine

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complex variety of dimension $n - 1$ (or $n - 2$ if $r = 1$, except that $\mathcal{M}(1, 1)$ is empty). Clearly $W(r, n)$ acts on $\mathcal{M}(r, n)$. Taking singular (equivalently, de Rham) cohomology, we obtain a graded representation $H^\bullet(\mathcal{M}(r, n), \mathbb{C})$ of $W(r, n)$, whose character has been computed by Lehrer (see Theorems 2.1 and 2.2 below).

Note that $\mathcal{M}(1, n)$ for $n \geq 2$ can be identified with the moduli space $\mathcal{M}_{0, n+1}$ of ordered configurations of $n + 1$ points of \mathbb{P}^1 . This is because the last point can be taken to be the point at infinity, so that what remains is an n -tuple of distinct complex numbers, modulo the simultaneous action of the group of affine-linear transformations of \mathbb{C} . This point of view makes it clear that the action of $W(1, n) = S_n$ on $\mathcal{M}(1, n)$ can be extended to an action of S_{n+1} , but that extension will not arise here.

In [2, Section 4], De Concini and Procesi have defined, for any hyperplane arrangement \mathcal{A} in a vector space V , a “wonderful” compactification $\overline{\mathcal{M}}$ of the projective hyperplane complement \mathcal{M} ; this is a nonsingular irreducible projective variety containing \mathcal{M} as an open subvariety, in which the complement of \mathcal{M} is a divisor with normal crossings. Actually the compactification comes in different versions, depending on the choice of a “building set”. The version we will use is as follows. Let L be the lattice of all intersections of hyperplanes in \mathcal{A} , ordered by reverse inclusion. Let \mathcal{F} be the set of *irreducible* elements of this lattice, where $X \in L$ is irreducible if $X \neq V$ and the arrangement in V/X induced by the hyperplanes in \mathcal{A} which contain X is not isomorphic to the product of two nontrivial arrangements. We assume for simplicity that $\{0\} \in \mathcal{F}$, i.e. the arrangement \mathcal{A} is essential and irreducible. Collecting the obvious maps $\mathcal{M} \rightarrow \mathbb{P}(V/X)$ for all $X \in \mathcal{F}$, we get a map

$$\alpha : \mathcal{M} \rightarrow \prod_{X \in \mathcal{F}} \mathbb{P}(V/X),$$

which is an embedding since one of the factors on the right is $\mathbb{P}(V)$. The compactification $\overline{\mathcal{M}}$ is defined to be the closure of $\alpha(\mathcal{M})$ in the projective variety $\prod_{X \in \mathcal{F}} \mathbb{P}(V/X)$. In the case $\mathcal{A} = \mathcal{A}(1, n)$ for $n \geq 2$, the compactification $\overline{\mathcal{M}}(1, n)$ is the usual compactification of $\mathcal{M}_{0, n+1}$ considered in algebraic geometry, namely the moduli space of stable genus 0 curves with $n + 1$ labelled points. For later convenience, we redefine $\overline{\mathcal{M}}(1, 1)$ to be a single point, not the empty set.

It is clear that whenever \mathcal{A} is the hyperplane arrangement of a complex reflection group W , the action of W on \mathcal{M} extends to an action on $\overline{\mathcal{M}}$. In particular, the action of $W(r, n)$ on $\mathcal{M}(r, n)$ extends to its compactification $\overline{\mathcal{M}}(r, n)$. The question then arises of computing the

character of the graded $W(r, n)$ -representation $H^\bullet(\overline{\mathcal{M}}(r, n), \mathbb{C})$. This has been solved, to some extent, in the case $r = 1$: there is a recursive formula, essentially due to Ginzburg and Kapranov, allowing the character of $H^\bullet(\overline{\mathcal{M}}(1, n), \mathbb{C})$ to be computed from those for smaller n (see Theorem 2.3 below). The main result of this paper (Theorem 2.4) is a formula for the character of $H^\bullet(\overline{\mathcal{M}}(r, n), \mathbb{C})$, $r \geq 2$, in terms of the characters of $H^\bullet(\mathcal{M}(r, m), \mathbb{C})$ and $H^\bullet(\overline{\mathcal{M}}(1, m), \mathbb{C})$ for $m \leq n$. As a corollary, we get a formula for the Betti numbers of $\overline{\mathcal{M}}(r, n)$, which generalizes that found in the $r = 2$ case by Yuzvinsky ([16, Theorem 5.1]).

In §1 we introduce some combinatorial notation which is needed to state these formulas. Apart from some fairly natural generalizations of plethysm, this is all standard wreath-product formalism in the spirit of [12, Chapter I, Appendix B]. In §2 we state the formulas for characters of representations of wreath products on cohomology which are proved in this paper, and indicate their relationship with previous work. To give the flavour of the main result, a sample calculation in the $r = 2$ case is given in §3.

Our derivation of this result relies on the nested-set stratification of $\overline{\mathcal{M}}(r, n)$ described by De Concini and Procesi. Most of this paper is in fact a building-up of the correct techniques for translating this stratification into a recursive formula for cohomology; in order to keep track of the group actions, some sophisticated combinatorial book-keeping is needed. In §5 we rephrase the stratification so that the strata are indexed not by nested sets but by a certain kind of trees with labelled vertices on which μ_r acts. This brings the situation closer to the operadic viewpoint of [6]; but the standard theory of tensor species as developed by Joyal is no longer sufficient to express the recursive nature of these trees in the $r \geq 2$ case.

For this reason, in §4 we generalize this theory to the case of r -species and their linear analogues, which we call \mathbf{B}_r -modules. As in the $r = 1$ case there is a link with polynomial functors, in this case from $\mathbb{C}\mu_r\text{-mod}$ to $\mathbb{C}\text{-mod}$. This section revisits some of the concepts of Macdonald's paper [11], the crucial new ingredient being the operation of precomposing a polynomial functor $\mathbb{C}\mu_r\text{-mod} \rightarrow \mathbb{C}\text{-mod}$ with the functor $\mathbb{C}\mu_r\text{-mod} \rightarrow \mathbb{C}\mu_r\text{-mod}$ induced by a polynomial functor $\mathbb{C}\text{-mod} \rightarrow \mathbb{C}\text{-mod}$. This operation, and the plethysm it corresponds to, are exactly what is needed to translate the De Concini-Procesi stratification into a statement about cohomology; but I believe that the results of §4 will be of independent interest.

In §6, we present a jazzed-up version of this theory, where the vector spaces have $(\mathbb{N} \times \mathbb{N})$ -gradings, and some tensor products are not commutative but graded-commutative with respect to the second \mathbb{N} -grading. In §7, we apply this to the cohomology of our varieties, where the second grading is by degree and the first is by half the Hodge weight. The fact that our strata are minimally pure in the sense of [3] allows us to take alternating sum with respect to degree, and still distinguish the different cohomology groups by their Hodge weights. Putting the pieces of the argument together, we prove Theorems 2.3 and 2.4. Finally, in §8 we use this same technology to give clean proofs of Lehrer’s results for $\mathcal{M}(r, n)$ (this section is based on the proof in the $r = 1$ case given by Getzler).

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1. CHARACTERISTICS AND PLETHYSM

The combinatorial framework for discussing representations of wreath products $G \wr S_n$ is given in [12, Chapter I, Appendix B]. Here we will only use the case $G = \mu_r$, where $r \in \mathbb{Z}^+$. The conjugacy classes in $W(r, n) = \mu_r \wr S_n$ are in bijection with the set of collections $(a_i(\zeta))_{i \in \mathbb{Z}^+, \zeta \in \mu_r}$ of non-negative integers such that

$$(1.1) \quad \sum_{\substack{i \in \mathbb{Z}^+ \\ \zeta \in \mu_r}} ia_i(\zeta) = n.$$

In this bijection, $a_i(\zeta)$ counts the number of cycles of length i and type ζ . To make this and other statements true for $n = 0$, we define

$W(r, 0)$ to be the trivial group. Following [12, loc. cit., §5], we define the \mathbb{C} -algebra

$$\Lambda(r) := \mathbb{C}[p_i(\zeta) \mid i \in \mathbb{Z}^+, \zeta \in \mu_r],$$

where $p_i(\zeta)$ are indeterminates. If $r = 1$, we write p_i instead of $p_i(1)$, and identify $\Lambda(1)$ with the complexified ring of symmetric functions, viewing p_i as the i th power sum. We have an obvious \mathbb{N} -grading $\Lambda(r) = \bigoplus_{n \geq 0} \Lambda(r)_n$, defined by the rule $\deg(p_i(\zeta)) = i$. If the conjugacy class of $w \in W(r, n)$ corresponds to $(a_i(\zeta))$, set

$$p_w = \prod_{\substack{i \in \mathbb{Z}^+ \\ \zeta \in \mu_r}} p_i(\zeta)^{a_i(\zeta)} \in \Lambda(r)_n.$$

Let $R(W(r, n))$ denote the space of class functions on $W(r, n)$. If $f \in R(W(r, n))$, define its *characteristic* by

$$\text{ch}_{W(r, n)}(f) := \frac{1}{r^n n!} \sum_{w \in W(r, n)} f(w) p_w.$$

Then we have an isomorphism $\text{ch}_{W(r, n)} : R(W(r, n)) \xrightarrow{\sim} \Lambda(r)_n$. We will also write $\text{ch}_{W(r, n)}(M)$ where M is a finite-dimensional representation of $W(r, n)$, meaning by this the characteristic of the character of M . (In other words, we will identify $R(W(r, n))$ with the complexified Grothendieck group of the category of representations.) If we combine the maps $\text{ch}_{W(r, n)}$ for all $n \geq 0$, the resulting isomorphism

$$(1.2) \quad \text{ch} : \bigoplus_{n \geq 0} R(W(r, n)) \xrightarrow{\sim} \Lambda(r)$$

puts an algebra structure on $\bigoplus_{n \geq 0} R(W(r, n))$, which is given by induction product ([12, loc. cit., (6.3)]).

In order to be able to consider a collection of representations of $W(r, n)$ for all n simultaneously, we define the formal power series ring

$$\mathbb{A}(r) := \mathbb{C}[\![p_i(\zeta) \mid i \in \mathbb{Z}^+, \zeta \in \mu_r]\!].$$

Clearly we can extend the isomorphism (1.2) to

$$(1.3) \quad \text{ch} : \prod_{n \geq 0} R(W(r, n)) \xrightarrow{\sim} \mathbb{A}(r).$$

Thus $\mathbb{A}(r)$ is a complete \mathbb{N} -filtered topological \mathbb{C} -algebra.

When dealing with graded representations of $W(r, n)$, we will work in the ring $\mathbb{A}(r)[q] = \mathbb{A}(r) \otimes \mathbb{C}[q]$, where q is a further indeterminate which keeps track of the grading of the representation. We give $\mathbb{A}(r)[q]$ the \mathbb{N} -filtration induced from the above filtration on $\mathbb{A}(r)$ (so $\deg(q) = 0$). We will write $\mathbb{A}(r)_+$ and $\mathbb{A}(r)[q]_+$ for the augmentation ideals.

For any $P \in \mathbb{A}(r)[q]$, we will write P^\natural for the power series in $\mathbb{C}[q][x]$ obtained by applying the specialization

$$(1.4) \quad p_1(1) \rightarrow x, \text{ all other } p_i(\zeta) \rightarrow 0.$$

(Strictly speaking, this rule defines a homomorphism $\Lambda(r)[q] \rightarrow \mathbb{C}[q][x]$, which we then extend to $\mathbb{A}(r)[q]$ by continuity. Such an extension will be implicit in similar situations below.) Clearly $\text{ch}_{W(r,n)}(f)^\natural = \frac{1}{r^n n!} f(1) x^n$ for $f \in R(W(r, n))$, and if M is a representation of $W(r, n)$, $\text{ch}_{W(r,n)}(M)^\natural = \frac{1}{r^n n!} (\dim M) x^n$. So P^\natural may be thought of as the “non-equivariant version” of P .

Lastly, we need to introduce plethysm. Plethysm as defined in [12, Chapter I, §8] is an associative operation $\circ : \Lambda(1) \times \Lambda(1) \rightarrow \Lambda(1)$, which under the specialization (1.4) becomes simply the substitution of one element of $\mathbb{C}[x]$ in another. It is uniquely defined by the following properties:

- (1) for all $g \in \Lambda(1)$, the map $\Lambda(1) \rightarrow \Lambda(1) : f \mapsto f \circ g$ is a \mathbb{C} -algebra homomorphism;
- (2) for any $i \in \mathbb{Z}^+$, the map $\Lambda(1) \rightarrow \Lambda(1) : g \mapsto p_i \circ g$ is a \mathbb{C} -algebra homomorphism;
- (3) $p_i \circ p_j = p_{ij}$.

Note that p_1 is the plethystic identity. The significance of this operation stems from its interpretation in terms of polynomial functors, which we will discuss in §4.

When $r \geq 2$, there is no way to define a similar associative operation on $\Lambda(r)$, but instead there are two operations $\circ : \Lambda(1) \times \Lambda(r) \rightarrow \Lambda(r)$ and $\circ : \Lambda(r) \times \Lambda(1) \rightarrow \Lambda(r)$, which we will also call plethysm. These have all the associativities one might expect, i.e. $f \circ (g \circ h) = (f \circ g) \circ h$ whenever both sides are defined. The first operation, which we will not in fact use in the main proof, is defined by three properties similar to the above, with (3) reading instead $p_i \circ p_j(\zeta) = p_{ij}(\zeta)$. From another viewpoint, this is simply the usual kind of plethysm applied to the r tensor factors $\mathbb{C}[p_i(\zeta) \mid i \in \mathbb{Z}^+]$ of $\Lambda(r)$ independently. The second operation, which does not seem to have appeared previously in the literature, is defined by the following properties:

- (1) for all $h \in \Lambda(1)$, the map $\Lambda(r) \rightarrow \Lambda(r) : g \mapsto g \circ h$ is a \mathbb{C} -algebra homomorphism;
- (2) for any $i \in \mathbb{Z}^+$, $\zeta \in \mu_r$, the map $\Lambda(1) \rightarrow \Lambda(r) : h \mapsto p_i(\zeta) \circ h$ is a \mathbb{C} -algebra homomorphism;
- (3) $p_i(\zeta) \circ p_j = p_{ij}(\zeta^j)$.

Again, we will give interpretations of these operations in terms of polynomial functors in §4.

For either $r = 1$ or $r \geq 2$, the operation $\circ : \Lambda(r) \times \Lambda(1) \rightarrow \Lambda(r)$ can be extended to $\circ : \mathbb{A}(r) \times \mathbb{A}(1)_+ \rightarrow \mathbb{A}(r)$. (The assumption of zero constant term on the right is needed for convergence.) We will need the further extension of this to an operation $\circ : \mathbb{A}(r)[q] \times \mathbb{A}(1)[q]_+ \rightarrow \mathbb{A}(r)[q]$, which is uniquely defined by:

- (1) for all $g \in \mathbb{A}(1)[q]_+$, the map $\mathbb{A}(r)[q] \rightarrow \mathbb{A}(r)[q] : f \mapsto f \circ g$ is a continuous $\mathbb{C}[q]$ -algebra homomorphism;
- (2) for any $i \in \mathbb{Z}^+$, $\zeta \in \mu_r$, the map $\mathbb{A}(1)[q]_+ \rightarrow \mathbb{A}(r)[q] : g \mapsto p_i(\zeta) \circ g$ is a continuous \mathbb{C} -algebra homomorphism;
- (3) $p_i(\zeta) \circ q = q^i$, $p_i(\zeta) \circ p_j = p_{ij}(\zeta^j)$.

It is easy to see that for $f \in \mathbb{A}(r)[q]$, $g \in \mathbb{A}(1)[q]_+$,

$$(1.5) \quad (f \circ g)^\natural = f^\natural(g^\natural),$$

where the right-hand side means that g^\natural is substituted for x in f^\natural .

2. STATEMENT OF RESULTS

Now we return to the representations of $W(r, n)$ on cohomology discussed in the Introduction. It is more convenient to state the results in terms of cohomology with compact supports. Of course this makes no difference in the case of the projective variety $\overline{\mathcal{M}}(r, n)$; and since $\mathcal{M}(r, n)$ is a nonsingular irreducible variety, Poincaré duality gives

$$H_c^s(\mathcal{M}(r, n), \mathbb{C}) \cong H^{2 \dim \mathcal{M}(r, n) - s}(\mathcal{M}(r, n), \mathbb{C})^*$$

as representations of $W(r, n)$, so the character of the graded representation $H_c^\bullet(\mathcal{M}(r, n), \mathbb{C})$ is easily obtained from that of $H^\bullet(\mathcal{M}(r, n), \mathbb{C})$ and vice versa.

We will encode the characters of the representations $H_c^\bullet(\mathcal{M}(r, n), \mathbb{C})$ and $H^\bullet(\overline{\mathcal{M}}(r, n), \mathbb{C})$ for all n in the following elements of $\mathbb{A}(r)[q]_+$:

$$\begin{aligned} \mathcal{P}(r) &:= \sum_{n \geq 1} \sum_{s=\dim \mathcal{M}(r, n)}^{2 \dim \mathcal{M}(r, n)} (-1)^s \text{ch}_{W(r, n)}(H_c^s(\mathcal{M}(r, n), \mathbb{C})) q^{s - \dim \mathcal{M}(r, n)}, \\ \overline{\mathcal{P}}(r) &:= \sum_{n \geq 1} \sum_{s=0}^{\dim \overline{\mathcal{M}}(r, n)} \text{ch}_{W(r, n)}(H^{2s}(\overline{\mathcal{M}}(r, n), \mathbb{C})) q^s. \end{aligned}$$

The non-equivariant versions encode the Betti numbers:

$$\begin{aligned}\mathcal{P}(r)^\natural &= \sum_{n \geq 1} \frac{x^n}{r^n n!} \sum_{s=\dim \mathcal{M}(r,n)}^{2 \dim \mathcal{M}(r,n)} (-1)^s \dim H_c^s(\mathcal{M}(r,n), \mathbb{C}) q^{s-\dim \mathcal{M}(r,n)}, \\ \overline{\mathcal{P}}(r)^\natural &= \sum_{n \geq 1} \frac{x^n}{r^n n!} \sum_{s=0}^{\dim \overline{\mathcal{M}}(r,n)} \dim H^{2s}(\overline{\mathcal{M}}(r,n), \mathbb{C}) q^s.\end{aligned}$$

The powers of q used here derive from some easy mixed Hodge theory; in the terminology of [3], the terms of these series are the (equivariant or non-equivariant) *weight polynomials* of the corresponding varieties (with $q = t^2$). Explicitly, since $\mathcal{M}(r,n)$ is either a single point or the complement of a nonempty finite set of hyperplanes in a projective space, it is *minimally pure* in the sense of [3, Definition 3.1]. Hence $H_c^s(\mathcal{M}(r,n), \mathbb{C})$ is nonzero only if $\dim \mathcal{M}(r,n) \leq s \leq 2 \dim \mathcal{M}(r,n)$, and is a pure Hodge structure of weight $2s - 2 \dim \mathcal{M}(r,n)$. By [2, Theorem 5.2], $\overline{\mathcal{M}}(r,n)$ has no odd-degree cohomologies, and since it is nonsingular projective, $H^{2s}(\overline{\mathcal{M}}(r,n), \mathbb{C})$ is a pure Hodge structure of weight $2s$.

In this notation, Lehrer's results are as follows (for $d \in \mathbb{Z}^+$, $\mu(d) \in \{0, 1, -1\}$ is defined in the usual way).

Theorem 2.1. *In $\mathbb{A}(1)[q]$ we have the equation*

$$1 + qp_1 + q(q-1)\mathcal{P}(1) = \prod_{n \geq 1} (1 + p_n)^{R_n/n},$$

where

$$R_n := \sum_{d|n} \mu(d) q^{n/d}.$$

This is equivalent to [9, Theorem 5.5]; see §8 for a quick proof due to Getzler.

Theorem 2.2. *In $\mathbb{A}(r)[q]$ for $r \geq 2$ we have the equation*

$$1 + (q-1)\mathcal{P}(r) = \prod_{\substack{n \geq 1 \\ \theta \in \mu_r}} (1 + p_n(\theta))^{R_{r,n,\theta}/rn},$$

where

$$R_{r,n,\theta} := \sum_{d|n} |\{\zeta \in \mu_r \mid \zeta^d = \theta\}| \mu(d) (q^{n/d} - 1).$$

This can be deduced from [10, Theorems 5.9 and 6.3]; see §8 for a new proof. Applying the specialization (1.4), we find:

$$(2.1) \quad \begin{aligned} \mathcal{P}(1)^\natural &= \frac{(1+x)^q - 1 - qx}{q(q-1)}, \\ (r \geq 2) \quad \mathcal{P}(r)^\natural &= \frac{(1+x)^{\frac{q-1}{r}} - 1}{q-1}. \end{aligned}$$

Of course, these non-equivariant statements can be proved directly: they are equivalent to special cases of [15, Theorem 4.8].

Using the familiar $r = 1$ kind of plethysm, the result of Ginzburg and Kapranov can be expressed as follows.

Theorem 2.3. *In $\mathbb{A}(1)[q]$ we have the equation*

$$\overline{\mathcal{P}}(1) = p_1 + \mathcal{P}(1) \circ \overline{\mathcal{P}}(1).$$

Equivalently, $\overline{\mathcal{P}}(1)$ is the plethystic inverse of $p_1 - \mathcal{P}(1)$.

The statement in [6] was slightly different. There is a $\mathbb{C}[q]$ -algebra involution $\tau : \mathbb{A}(1)[q] \rightarrow \mathbb{A}(1)[q]$ defined by

$$\tau(f) = f|_{p_i \rightarrow -p_i},$$

or equivalently by the rule

$$\tau(\text{ch}_{S_n}(M)) = (-1)^n \text{ch}_{S_n}(\varepsilon \otimes M),$$

where M is a representation of S_n and ε is the sign representation. It is easy to see that $\tau(f \circ g) = \tau(f) \circ (-\tau(g))$, so Theorem 2.3 is equivalent to the statement that $-\tau(\overline{\mathcal{P}}(1))$ is the plethystic inverse of $p_1 + \tau(\mathcal{P}(1))$. This follows from [6, Theorem 3.4.11], bearing in mind [6, Theorem 3.3.2].

In §§5–7 we will give a simpler proof of Theorem 2.3, based on hints by Getzler in [5, §3], in parallel with the proof of our main result. Note that Theorem 2.3 amounts to a recursive formula for the terms of $\overline{\mathcal{P}}(1)$, since the degree n terms on the left-hand side are expressed as polynomials in smaller-degree terms on the right-hand side, with the known terms of $\mathcal{P}(1)$ as coefficients. (Here we need to observe that the degree 1 term of $\mathcal{P}(1)$ vanishes, since $\mathcal{M}(1, 1)$ is empty.) Using (1.5) and (2.1), we get the non-equivariant version:

$$(2.2) \quad \overline{\mathcal{P}}(1)^\natural = x + \frac{(1 + \overline{\mathcal{P}}(1)^\natural)^q - 1 - q\overline{\mathcal{P}}(1)^\natural}{q(q-1)}.$$

This is equivalent to Keel's recursion [8, p. 550, (4)], and to [13, (0.7)].

Using the generalized kind of plethysm, we can state the main result of this paper, which will be proved in §§5–7.

Theorem 2.4. *In $\mathbb{A}(r)[q]$ for $r \geq 2$ we have the equation:*

$$\overline{\mathcal{P}}(r) = (1 + \overline{\mathcal{P}}(r))(\mathcal{P}(r) \circ \overline{\mathcal{P}}(1)).$$

Equivalently, $1 + \overline{\mathcal{P}}(r)$ is the multiplicative inverse of $1 - \mathcal{P}(r) \circ \overline{\mathcal{P}}(1)$.

Of course, this amounts to a formula for the degree n term of $\overline{\mathcal{P}}(r)$ in terms of the degree $\leq n$ terms of $\mathcal{P}(r) \circ \overline{\mathcal{P}}(1)$, which can be calculated using Theorems 2.2 and 2.3. Using (1.5) and (2.1), we get the non-equivariant version:

Corollary 2.5. *($r \geq 2$) In $\mathbb{C}[q][x]$, $1 + \overline{\mathcal{P}}(r)^\natural$ is the multiplicative inverse of $1 - \frac{1}{q-1}((1 + \overline{\mathcal{P}}(1)^\natural)^{\frac{q-1}{r}} - 1)$.*

The special case $r = 2$ of this Corollary was obtained by Yuzvinsky by constructing explicit bases for the cohomology groups. See [16, Theorem 5.1(i)]; his t is our x , and his α is our $(1 + \overline{\mathcal{P}}(1)^\natural)^{\frac{1}{2}}$.

3. AN EXAMPLE

Let us calculate $\overline{\mathcal{P}}(2)$ up to degree 3, using Theorem 2.4. To make the formulas more legible, we will write x_i instead of $p_i(1)$ and y_i instead of $p_i(-1)$. Thus $\mathbb{A}(2)[q] = \mathbb{C}[q][x_1, y_1, x_2, y_2, \dots]$, and plethysm rule (3) becomes

$$\begin{aligned} x_i \circ q &= y_i \circ q = q^i, \\ x_i \circ p_j &= x_{ij}, \\ y_i \circ p_j &= \begin{cases} y_{ij}, & \text{if } j \text{ is odd} \\ x_{ij}, & \text{if } j \text{ is even.} \end{cases} \end{aligned}$$

Now $\mathcal{P}(2)$ is given by Theorem 2.2. Since we are concerned with degree ≤ 3 , we only need those factors of the infinite product where $n \leq 3$. These factors are:

$$(1 + x_1)^{\frac{q-1}{2}} (1 + y_1)^{\frac{q-1}{2}} (1 + x_2)^{\frac{q^2-2q+1}{4}} (1 + y_2)^{\frac{q^2-1}{4}} (1 + x_3)^{\frac{q^3-q}{6}} (1 + y_3)^{\frac{q^3-q}{6}}.$$

So up to degree 3,

$$\begin{aligned} (3.1) \quad \mathcal{P}(2) &= \frac{1}{2}(x_1 + y_1) \\ &+ \frac{q-3}{8}(x_1^2 + y_1^2) + \frac{q-1}{4}x_1y_1 + \frac{q-1}{4}x_2 + \frac{q+1}{4}y_2 \\ &+ \frac{q^2-8q+15}{48}(x_1^3 + y_1^3) + \frac{q^2-4q+3}{16}(x_1^2y_1 + x_1y_1^2) \\ &+ \frac{q^2-1}{8}(x_1y_2 + y_1y_2) + \frac{q^2-2q+1}{8}(x_1x_2 + x_2y_1) \\ &+ \frac{q^2+q}{6}(x_3 + y_3) + \dots \end{aligned}$$

The denominators of the coefficients are the orders of the centralizers of the corresponding elements of $W(2, n)$, so the numerators represent the actual traces on the graded cohomology. Since the centre $\{1, -1\}$ of $W(2, n)$ acts trivially on $H_c^\bullet(\mathcal{M}(2, n))$, this series is stable under the involution corresponding to multiplication by -1 , which is just

$$\begin{aligned} (i \text{ odd}) \quad x_i &\mapsto y_i, y_i \mapsto x_i \\ (i \text{ even}) \quad x_i &\mapsto x_i, y_i \mapsto y_i. \end{aligned}$$

The terms have been grouped to reflect this. Now up to degree 3,

$$(3.2) \quad \overline{\mathcal{P}}(1) = p_1 + \frac{1}{2}(p_1^2 + p_2) + \frac{q+1}{6}p_1^3 + \frac{q+1}{2}p_1p_2 + \frac{q+1}{3}p_3 + \dots$$

(Thus far the series $\overline{\mathcal{P}}(1)$ involves only trivial representations of the symmetric groups; to calculate further terms, one could use the recursion given by Theorem 2.3.) Applying the plethysm rules to (3.1) and (3.2) tells us that

$$\begin{aligned} (3.3) \quad \mathcal{P}(2) \circ \overline{\mathcal{P}}(1) = & \frac{1}{2}(x_1 + y_1) \\ & + \frac{q-1}{8}(x_1^2 + y_1^2) + \frac{q-1}{4}x_1y_1 + \frac{q+1}{4}x_2 + \frac{q+1}{4}y_2 \\ & + \frac{q^2 + 2q + 1}{48}(x_1^3 + y_1^3) + \frac{q^2 - 2q + 1}{16}(x_1^2y_1 + x_1y_1^2) \\ & + \frac{q^2 - 1}{8}(x_1y_2 + y_1y_2) + \frac{q^2 + 2q - 1}{8}(x_1x_2 + x_2y_1) \\ & + \frac{q^2 + 2q + 1}{6}(x_3 + y_3) + \dots \end{aligned}$$

By Theorem 2.4, $1 + \overline{\mathcal{P}}(2)$ is the inverse of $1 - \mathcal{P}(2) \circ \overline{\mathcal{P}}(1)$ in $\mathbb{A}(2)[q]$. Hence we find

$$\begin{aligned} (3.4) \quad \overline{\mathcal{P}}(2) = & \frac{1}{2}(x_1 + y_1) \\ & + \frac{q+1}{8}(x_1^2 + y_1^2) + \frac{q+1}{4}x_1y_1 + \frac{q+1}{4}x_2 + \frac{q+1}{4}y_2 \\ & + \frac{q^2 + 8q + 1}{48}(x_1^3 + y_1^3) + \frac{q^2 + 4q + 1}{16}(x_1^2y_1 + x_1y_1^2) \\ & + \frac{q^2 + 2q + 1}{8}(x_1y_2 + y_1y_2) + \frac{q^2 + 4q + 1}{8}(x_1x_2 + x_2y_1) \\ & + \frac{q^2 + 2q + 1}{6}(x_3 + y_3) + \dots \end{aligned}$$

The only nontrivial representation involved here is $H^2(\overline{\mathcal{M}(2,3)})$. This calculation shows that the traces of various elements of $W(2,3)$ on this cohomology are 8, 4, and 2. Since $\{\pm 1\}$ acts trivially, we may regard this as a representation of $W(2,3)/\{\pm 1\} \cong S_4$. Consulting the character table of S_4 , we find that its isomorphism type is $3V_{(4)} \oplus V_{(31)} \oplus V_{(2^2)}$, where the irreducible representations of S_4 are parametrized by partitions of 4 as usual.

4. SPECIES, \mathbf{B}_r -MODULES, AND POLYNOMIAL FUNCTORS

Before proceeding to the proof of Theorems 2.3 and 2.4, we need to build more of the combinatorial framework. As above, let $r \in \mathbb{Z}^+$. We introduce the category \mathbf{B}_r , whose objects are finite sets with a free action of μ_r , and whose morphisms are bijections respecting this action. (Clearly \mathbf{B}_1 is just the category of finite sets and bijections.) For all $n \in \mathbb{N}$, write $[n]$ for $\{1, \dots, n\}$ ($[0]$ is the empty set). The set $[n]_r := \mu_r \times [n]$, with the obvious μ_r -action by left multiplication on the first factor, is an object of \mathbf{B}_r , and clearly all objects of \mathbf{B}_r are isomorphic to $[n]_r$ for unique $n \in \mathbb{N}$. The group of automorphisms of the object $[n]_r$ in the category \mathbf{B}_r is canonically isomorphic to the wreath product $W(r, n)$. (This is true when $n = 0$, since we have defined $W(r, 0)$ to be the trivial group.)

In enumerative combinatorics (for instance, see [7] or [1]) a *species* is a functor $A : \mathbf{B}_1 \rightarrow \mathbf{B}_1$. More generally, we will define an r -*species* to be a functor $A : \mathbf{B}_r \rightarrow \mathbf{B}_1$. Note that A is determined up to (non-unique) isomorphism by the collection of sets $A([n]_r)$ for $n \geq 0$, each equipped with the action of $W(r, n)$ induced by A . We define the *cycle index series* $Z_A \in \mathbb{A}(r)$ by

$$Z_A := \sum_{n \geq 0} \frac{1}{r^n n!} \sum_{w \in W(r, n)} |A([n]_r)^{A(w)}| p_w.$$

This generalizes Joyal's definition in the case $r = 1$.

For example, we have a “trivial” r -species $E(r)$ defined by $E(r)(I) = \{I\}$ for all I in \mathbf{B}_r . (The definition of $E(r)$ on morphisms is forced.) For any $n \in \mathbb{N}$, we define an r -species $E(r)_n$ to be the degree- n sub- r -species of $E(r)$. That is,

$$E(r)_n(I) = \begin{cases} \{I\}, & \text{if } I \cong [n]_r \text{ in } \mathbf{B}_r \\ \emptyset, & \text{otherwise.} \end{cases}$$

Similarly we define $E(r)_+$, which takes the value $\{I\}$ when $I \neq \emptyset$ and \emptyset otherwise, and $E(r)_{\geq 2}$, which takes the value $\{I\}$ when $|I| \geq 2r$ and

\emptyset otherwise. We have

$$(4.1) \quad Z_{E(r)} = \sum_{n \geq 0} \frac{1}{r^n n!} \sum_{w \in W(r, n)} p_w = \exp\left(\sum_{i \geq 1} \frac{1}{ri} \sum_{\zeta \in \mu_r} p_i(\zeta)\right),$$

where the second equality follows from the fact that an element of $W(r, n)$ whose class corresponds to $(a_i(\zeta))$ has centralizer of order

$$(4.2) \quad \prod_{\substack{i \geq 1 \\ \zeta \in \mu_r}} a_i(\zeta)! (ri)^{a_i(\zeta)}.$$

Clearly $Z_{E(r)_+}$ and $Z_{E(r)_{\geq 2}}$ are obtained from $Z_{E(r)}$ by subtracting the degree 0 and the degree ≤ 1 terms respectively.

There is a linear analogue of the concept of r -species. A \mathbf{B}_r -module is a functor $\mathbf{B}_r \rightarrow \mathbb{C}\text{-mod}$, where $\mathbb{C}\text{-mod}$ is the category of finite-dimensional complex vector spaces. (A \mathbf{B}_1 -module is what is elsewhere called a *tensor species* or \mathbb{S} -module.) A \mathbf{B}_r -module U is determined up to isomorphism by the vector spaces $(U([n]_r))$ for $n \in \mathbb{N}$, each equipped with the representation of $W(r, n)$ induced by U . Recalling the isomorphism (1.3), it is natural to define the *characteristic* $\text{ch}(U) \in \mathbb{A}(r)$ by

$$\text{ch}(U) := \sum_{n \geq 0} \text{ch}_{W(r, n)}(U([n]_r)).$$

Let $H^0 : \mathbf{B}_1 \rightarrow \mathbb{C}\text{-mod}$ be the functor defined by $H^0 I := \mathbb{C}^I$, with the obvious definition on morphisms. By composing with H^0 , any r -species $A : \mathbf{B}_r \rightarrow \mathbf{B}_1$ gives rise to a \mathbf{B}_r -module $H^0 A : \mathbf{B}_r \rightarrow \mathbb{C}\text{-mod}$, which may be thought of as the “linearization” of A . Moreover, it is clear that $\text{ch}(H^0 A) = Z_A$, so many facts about cycle index series of r -species can be deduced from more general results about characteristics of \mathbf{B}_r -modules. We will take this approach, instead of working with r -species directly.

The main point of Joyal’s theory is that various natural operations on species (or tensor species) correspond to natural operations on their cycle index series (or characteristics). This remains true for general r . For instance, we define the sum and product of two r -species A and B as follows:

$$(4.3) \quad \begin{aligned} (A + B)(I) &= A(I) \amalg B(I), \\ (A \cdot B)(I) &= \coprod_{(I_1, I_2) \in \text{Decomp}(I)^{\mu_r}} A(I_1) \times B(I_2). \end{aligned}$$

Here $\text{Decomp}(I)^{\mu_r}$ is the set of all decompositions of I into the disjoint union of two (ordered) μ_r -stable subsets. The definitions on morphisms of \mathbf{B}_r are obvious. Analogously, the sum and product of two

\mathbf{B}_r -modules U and V are defined as follows:

$$(4.4) \quad \begin{aligned} (U + V)(I) &= U(I) \oplus V(I), \\ (U \cdot V)(I) &= \bigoplus_{(I_1, I_2) \in \text{Decomp}(I)^{\mu_r}} U(I_1) \otimes V(I_2). \end{aligned}$$

Note that this is just the induction product on $\prod_{n \geq 0} R(W(r, n))$, in the sense that

$$(U \cdot V)([n]_r) \cong \bigoplus_{n_1 + n_2 = n} \text{Ind}_{W(r, n_1) \times W(r, n_2)}^{W(r, n)}(U([n_1]_r) \otimes V([n_2]_r))$$

as representations of $W(r, n)$.

Proposition 4.1. *If U, V are \mathbf{B}_r -modules, then*

$$\text{ch}(U + V) = \text{ch}(U) + \text{ch}(V), \quad \text{ch}(U \cdot V) = \text{ch}(U)\text{ch}(V).$$

Proof. The first statement is obvious, and the second follows from [12, Chapter I, Appendix B, (6.3)]. \square

Corollary 4.2. *If A, B are r -species, then*

$$Z_{A+B} = Z_A + Z_B, \quad Z_{A \cdot B} = Z_A Z_B.$$

Proof. Since $H^0(A+B) \cong H^0 A + H^0 B$ and similarly for multiplication, this follows directly from Proposition 4.1. \square

In the $r = 1$ case, these results are well known (see [1, §1.3] or [7]).

More significantly, we have operations of *substitution* (or *partitional composition*) of r -species and \mathbf{B}_r -modules which correspond to the operations of plethysm introduced in §1. In the $r = 1$ case, Joyal defined the substitution $A \circ B$ of two species A and B to be the species whose value on an object I of \mathbf{B}_1 is

$$(4.5) \quad (A \circ B)(I) = \coprod_{\pi \in \text{Par}(I)} \left(A(\pi) \times \prod_{J \in \pi} B(J) \right),$$

where $\text{Par}(I)$ is the set of partitions of I , i.e. sets of non-empty disjoint subsets whose union equals I . The definition on morphisms is natural. (Note that $B(\emptyset)$ is irrelevant to this definition, and it is common to assume that it is empty.) There are two generalizations of this to the context of r -species.

First, if A is an ordinary species and B is an r -species, one can define an r -species $A \circ B$ whose value on an object I of \mathbf{B}_r is

$$(4.6) \quad (A \circ B)(I) = \coprod_{\pi \in \text{Par}(I)^{\mu_r}} \left(A(\pi) \times \prod_{J \in \pi} B(J) \right).$$

Here $\text{Par}(I)_{\mu_r}$ is the set of partitions $\pi \in \text{Par}(I)$ for which μ_r stabilizes each part. Analogously, if U is a \mathbf{B}_1 -module and V is a \mathbf{B}_r -module, we define a \mathbf{B}_r -module $U \circ V$ by

$$(4.7) \quad (U \circ V)(I) = \bigoplus_{\pi \in \text{Par}(I)_{\mu_r}} \left(U(\pi) \otimes \bigotimes_{J \in \pi} V(J) \right).$$

As we will see below, this corresponds to the less interesting kind of plethysm.

Second, if A is an r -species and B is an ordinary species, one can define an r -species $A \circ B$ whose value on an object I of \mathbf{B}_r is

$$(4.8) \quad (A \circ B)(I) = \coprod_{\pi \in \text{Par}(I)_{\mathbf{B}_r}} \left(A(\pi) \times \prod_{\mathcal{O} \in \mu_r \setminus \pi} B(\mathcal{O}) \right).$$

Here $\text{Par}(I)_{\mathbf{B}_r}$ is the set of partitions which are preserved by the μ_r -action on I , and such that the μ_r -action on π (i.e. the set of parts of the partition) is free, so that π is an object of \mathbf{B}_r . For every μ_r -orbit $\mathcal{O} \subseteq \pi$, $B(\mathcal{O})$ is the limit of $B(J)$ for $J \in \mathcal{O}$; in other words,

$$B(\mathcal{O}) := \{ (b_J) \in \prod_{J \in \mathcal{O}} B(J) \mid b_{\zeta \cdot J} = B(\zeta)(b_J), \forall J \in \mathcal{O}, \zeta \in \mu_r \},$$

where $B(\zeta) : B(J) \rightarrow B(\zeta \cdot J)$ is the bijection induced by the bijection $\zeta : J \rightarrow \zeta \cdot J$. Analogously, if U is a \mathbf{B}_r -module and V is a \mathbf{B}_1 -module, we define a \mathbf{B}_r -module $U \circ V$ by

$$(4.9) \quad (U \circ V)(I) = \bigoplus_{\pi \in \text{Par}(I)_{\mathbf{B}_r}} \left(U(\pi) \otimes \bigotimes_{\mathcal{O} \in \mu_r \setminus \pi} V(\mathcal{O}) \right).$$

As we will see below, this corresponds to the more interesting kind of plethysm.

We say that a \mathbf{B}_r -module U is *bounded* if $U(I) = \{0\}$ for $|I|$ sufficiently large, or equivalently if $\text{ch}(U) \in \Lambda(r)$. For any \mathbf{B}_r -module U , we can define bounded “truncations” $U_{\leq N}$ by

$$U_{\leq N}(I) = \begin{cases} U(I), & \text{if } |I| \leq rN, \\ \{0\}, & \text{if } |I| > rN. \end{cases}$$

Clearly $\text{ch}(U) = \lim_{N \rightarrow \infty} \text{ch}(U_{\leq N})$, which means that some properties of characteristics need only be proved for bounded \mathbf{B}_r -modules.

Let $\mathbb{C}\mu_r\text{-mod}$ be the category of finite-dimensional representations of μ_r . Any bounded \mathbf{B}_r -module U gives rise to a functor $F_U : \mathbb{C}\mu_r\text{-mod} \rightarrow \mathbb{C}\text{-mod}$, defined on objects by

$$F_U(M) := \bigoplus_{n \geq 0} (U([n]_r) \otimes M^{\otimes n})^{W(r,n)}.$$

Here the action of $W(r, n)$ on $M^{\otimes n}$ is given by the permutation of the tensor factors composed with the actions of μ_r on each. The functor F_U is *polynomial* in the sense that for all $M, N \in \mathbb{C}\mu_r\text{-mod}$, the map $F_U : \text{Hom}_{\mathbb{C}\mu_r}(M, N) \rightarrow \text{Hom}(F_U(M), F_U(N))$ is a polynomial function. It is a consequence of [11, Theorem 6.4] that all polynomial functors $\mathbb{C}\mu_r\text{-mod} \rightarrow \mathbb{C}\text{-mod}$ are isomorphic to one of the form F_U , where U is a bounded \mathbf{B}_r -module.

Without the boundedness assumption, one can define an *analytic* functor in the same way (relaxing the finite-dimensionality condition on the vector spaces involved); see [7, Chap. 4] for the $r = 1$ case. The reason for imposing the boundedness assumption is that we then have a simple relationship between $\text{ch}(U)$ and F_U :

Proposition 4.3. *If U is a bounded \mathbf{B}_r -module, $M \in \mathbb{C}\mu_r\text{-mod}$, and $\varphi \in \text{End}(M)$ commutes with the μ_r -action, then*

$$\text{ch}(U)|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)} = \text{tr}(F_U(\varphi), F_U(M)).$$

Proof. It is well known that if N is a finite-dimensional representation of a finite group Γ and $\psi \in \text{End}(N)$ commutes with the Γ -action, then

$$(4.10) \quad \text{tr}(\psi, N^\Gamma) = \frac{1}{|\Gamma|} \sum_{\gamma \in \Gamma} \text{tr}(\gamma \psi, N).$$

Applying this with $\Gamma = W(r, n)$, $N = U([n]_r) \otimes M^{\otimes n}$ and $\psi = \text{id} \otimes \varphi^{\otimes n}$, we get that

$$\text{tr}(F_U(\varphi), F_U(M)) = \sum_{n \geq 0} \frac{1}{|W(r, n)|} \sum_{w \in W(r, n)} \text{tr}(w, U([n]_r)) \text{tr}(w \varphi^{\otimes n}, M^{\otimes n}).$$

So we need only show that for $w \in W(r, n)$,

$$p_w|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)} = \text{tr}(w \varphi^{\otimes n}, M^{\otimes n}).$$

This clearly reduces to the case when w consists of a single cycle of length n and type ζ , in which case it says that

$$(4.11) \quad \text{tr}(\varphi^n \zeta, M) = \text{tr}(w \varphi^{\otimes n}, M^{\otimes n}).$$

This is easy to prove: one way is to observe that it suffices to prove this for φ a regular semisimple element of $\text{End}(M)$, and then split M into φ -eigenspaces, reducing to the trivial case where $\dim M = 1$. \square

In the case $r = 1$, this Proposition is equivalent to remarks in [12, Chapter I, Appendix A, §7]; the specialization sends the “abstract” i th power sum p_i to the actual sum of the i th powers of the eigenvalues of φ . For general r , it is easy to see that $f \in \mathbb{A}(r)$ is uniquely determined by the specializations $f|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)}$ for all M and φ , so Proposition 4.3 gives a way of determining $\text{ch}(U)$ from F_U when U is bounded.

Now we must reinterpret our operations on \mathbf{B}_r -modules in terms of polynomial functors. Addition and multiplication correspond to the obvious “pointwise” operations:

Proposition 4.4. *If U, V are bounded \mathbf{B}_r -modules, then*

$$F_{U+V} \cong F_U \oplus F_V, \quad F_{U \cdot V} \cong F_U \otimes F_V.$$

Proof. The statement about addition is obvious. The assertion that $F_{U \cdot V} \cong F_U \otimes F_V$ boils down to the fact that for any $M \in \mathbb{C}\mu_r\text{-mod}$,

$$\begin{aligned} (U([n_1]_r) \otimes M^{\otimes n_1})^{W(r, n_1)} \otimes (V([n_2]_r) \otimes M^{\otimes n_2})^{W(r, n_2)} \\ \cong \left(\text{Ind}_{W(r, n_1) \times W(r, n_2)}^{W(r, n_1+n_2)} (U([n_1]_r) \otimes V([n_2]_r)) \otimes M^{\otimes (n_1+n_2)} \right)^{W(r, n_1+n_2)}, \end{aligned}$$

and that this isomorphism is natural in M . This follows from the Frobenius reciprocity rule

$$(4.12) \quad (M \otimes \text{Res}_H^G N)^H \cong (\text{Ind}_H^G M \otimes N)^G,$$

applied with $H = W(r, n_1) \times W(r, n_2)$, $G = W(r, n_1 + n_2)$. \square

Note that we could have deduced Proposition 4.1 from this, using Proposition 4.3.

The upshot of [12, Chapter I, Appendix A, §6] is that substitution of bounded \mathbf{B}_1 -modules corresponds to composition of the associated polynomial functors; in [12, loc. cit., §7] this is used to prove that substitution corresponds to plethysm of characteristics. (For a more detailed proof, take the $r = 1$ case of Theorems 4.8 and 4.9 below.) This remains true in the case $r \geq 2$, except that of course it makes no sense to compose two functors $\mathbb{C}\mu_r\text{-mod} \rightarrow \mathbb{C}\text{-mod}$. The two possible types of composition “explain” the two generalizations of substitution, and the two generalizations of plethysm.

Firstly, if $F : \mathbb{C}\text{-mod} \rightarrow \mathbb{C}\text{-mod}$ and $G : \mathbb{C}\mu_r\text{-mod} \rightarrow \mathbb{C}\text{-mod}$ are polynomial functors, then so is $F \circ G$.

Theorem 4.5. *If U is a bounded \mathbf{B}_1 -module, and V is a bounded \mathbf{B}_r -module with $V(\emptyset) = \{0\}$, then $F_{U \circ V} \cong F_U \circ F_V$.*

Proof. This is implicit in [11, §9], and can be proved by an argument very similar to that for Theorem 4.8 below. \square

We can now prove that this kind of substitution corresponds to the first generalization of plethysm (in the $r = 1$ case, this is a result in [7, Section 4.4]).

Theorem 4.6. *If U is a \mathbf{B}_1 -module, and V is a \mathbf{B}_r -module with $V(\emptyset) = \{0\}$, then $\text{ch}(U \circ V) = \text{ch}(U) \circ \text{ch}(V)$.*

Proof. It is easy to see that $\text{ch}(U \circ V)$ and $\text{ch}(U) \circ \text{ch}(V)$ are the limits as $N \rightarrow \infty$ of $\text{ch}(U_{\leq N} \circ V_{\leq N})$ and $\text{ch}(U_{\leq N}) \circ \text{ch}(V_{\leq N})$ respectively. Hence it suffices to prove the Theorem when U and V are bounded. Then, as observed above, it suffices to show that $\text{ch}(U \circ V)$ and $\text{ch}(U) \circ \text{ch}(V)$ become equal under every specialization of the form $p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)$, where $M \in \mathbb{C}\mu_r\text{-mod}$ and $\varphi \in \text{End}(M)$ commutes with the μ_r -action. Now using Proposition 4.3 and Theorem 4.5,

$$\begin{aligned} \text{ch}(U \circ V)|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)} &= \text{tr}(F_{U \circ V}(\varphi), F_{U \circ V}(M)) \\ &= \text{tr}(F_U(F_V(\varphi)), F_U(F_V(M))) \\ &= \text{ch}(U)|_{p_i \rightarrow \text{tr}(F_V(\varphi)^i, F_V(M))} \\ &= \text{ch}(U)|_{p_i \rightarrow \text{tr}(F_V(\varphi^i), F_V(M))} \\ &= \text{ch}(U)|_{p_i \rightarrow \text{ch}(V)|_{p_j(\zeta) \rightarrow \text{tr}(\varphi^{ij} \zeta, M)}}. \end{aligned}$$

That this equals $(\text{ch}(U) \circ \text{ch}(V))|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)}$ is obvious from the definition of this kind of plethysm. \square

Corollary 4.7. *If A is a species and B is an r -species with $B(\emptyset) = \emptyset$, then $Z_{A \circ B} = Z_A \circ Z_B$.*

We will not actually use these results about the first generalization of plethysm in the remainder of the paper.

Secondly, and more importantly, if $F : \mathbb{C}\mu_r\text{-mod} \rightarrow \mathbb{C}\text{-mod}$ and $G : \mathbb{C}\text{-mod} \rightarrow \mathbb{C}\text{-mod}$ are polynomial functors, then G induces a functor $G^{(r)} : \mathbb{C}\mu_r\text{-mod} \rightarrow \mathbb{C}\mu_r\text{-mod}$, and we can compose to get a polynomial functor $F \circ G^{(r)} : \mathbb{C}\mu_r\text{-mod} \rightarrow \mathbb{C}\text{-mod}$.

Theorem 4.8. *If U is a bounded \mathbf{B}_r -module, and V is a bounded \mathbf{B}_1 -module with $V(\emptyset) = \{0\}$, then $F_{U \circ V} \cong F_U \circ F_V^{(r)}$.*

Proof. Let $M \in \mathbb{C}\mu_r\text{-mod}$. We will give a chain of isomorphisms showing that $F_{U \circ V}(M) \cong F_U(F_V^{(r)}(M))$, and leave it to the reader to check that the isomorphisms are natural and hence constitute an isomorphism of functors. By definition,

$$F_{U \circ V}(M) = \bigoplus_{n \geq 0} \left(\bigoplus_{\pi \in \text{Par}([n]_r)_{\mathbf{B}_r}} (U(\pi) \otimes \bigotimes_{\mathcal{O} \in \mu_r \setminus \pi} V(\mathcal{O})) \otimes M^{\otimes n} \right)^{W(r,n)}.$$

Now for any $\pi \in \text{Par}([n]_r)_{\mathbf{B}_r}$, the parts of π occur in μ_r -orbits of size r . The size of the part is constant on each orbit; these sizes, arranged in descending order, give a partition $n_1 \geq n_2 \geq \cdots \geq n_m$ of n , where $n_i \in \mathbb{Z}^+$. This partition (n_i) is the *type* of π . Fixing $n_1 \geq n_2 \geq \cdots \geq n_m$, the set of all $\pi \in \text{Par}([n]_r)_{\mathbf{B}_r}$ with $\text{type}(\pi) = (n_i)$ form a single $W(r, n)$ -orbit. Let $W(r, (n_i))$ be the stabilizer of the “standard” partition $\pi_{n_1, n_2, \dots, n_m} \in \text{Par}([n]_r)_{\mathbf{B}_r}$, whose parts are

$$\{(\zeta, j) \mid n_1 + \cdots + n_{k-1} + 1 \leq j \leq n_1 + \cdots + n_k\}, \quad \zeta \in \mu_r, 1 \leq k \leq m.$$

Then we have an isomorphism of representations of $W(r, n)$:

$$\bigoplus_{\substack{\pi \in \text{Par}([n]_r)_{\mathbf{B}_r} \\ \text{type}(\pi) = (n_i)}} (U(\pi) \otimes \bigotimes_{\mathcal{O} \in \mu_r \setminus \pi} V(\mathcal{O})) \cong \text{Ind}_{W(r, (n_i))}^{W(r, n)} (U([m]_r) \otimes V[n_1] \otimes \cdots \otimes V[n_m]).$$

Here we have identified the set of parts of $\pi_{n_1, n_2, \dots, n_m}$ with $[m]_r$ in the obvious way, and identified $V(\mathcal{O})$ with $V[n_i]$ if \mathcal{O} is the μ_r -orbit on these parts corresponding to the μ_r -orbit of $(1, i)$. Applying (4.12) with $H = W(r, (n_i))$, $G = W(r, n)$, we can transform our expression for $F_{U \circ V}(M)$ into

$$\bigoplus_{\substack{m \geq 0 \\ n_1 \geq \cdots \geq n_m \geq 1}} (U([m]_r) \otimes V[n_1] \otimes \cdots \otimes V[n_m] \otimes M^{\otimes(n_1 + \cdots + n_m)})^{W(r, (n_i))}.$$

Now $W(r, (n_i))$ has a normal subgroup, which we will identify with $S_{n_1} \times \cdots \times S_{n_m}$, consisting of all $w \in W(r, n)$ which stabilize each individual part of $\pi_{n_1, n_2, \dots, n_m}$. This subgroup acts trivially on $U([m]_r)$. Let $\overline{W}(r, (n_i))$ be the quotient group $W(r, (n_i)) / (S_{n_1} \times \cdots \times S_{n_m})$. Using the obvious fact that $(-)^{W(r, (n_i))} = ((-)^{S_{n_1} \times \cdots \times S_{n_m}})^{\overline{W}(r, (n_i))}$, we can rewrite the previous expression as

$$\bigoplus_{\substack{m \geq 0 \\ n_1 \geq \cdots \geq n_m \geq 1}} (U([m]_r) \otimes (V[n_1] \otimes M^{\otimes n_1})^{S_{n_1}} \otimes \cdots \otimes (V[n_m] \otimes M^{\otimes n_m})^{S_{n_m}})^{\overline{W}(r, (n_i))}.$$

Now $\overline{W}(r, (n_i))$ can be identified with the subgroup of $W(r, m)$ consisting of all $w \in W(r, m)$ whose image $\tilde{w} \in S_m$ satisfies $n_{\tilde{w}(i)} = n_i$ for all $i \in [m]$. The action of $\overline{W}(r, (n_i))$ on $U([m]_r)$ is just the restriction

of the action of $W(r, m)$. Since

$$\begin{aligned} \text{Ind}_{\overline{W(r, (n_i))}}^{W(r, m)} & \left(\bigoplus_{n_1 \geq \dots \geq n_m \geq 1} (V[n_1] \otimes M^{\otimes n_1})^{S_{n_1}} \otimes \dots \otimes (V[n_m] \otimes M^{\otimes n_m})^{S_{n_m}} \right) \\ & \cong \bigoplus_{n_1, \dots, n_m \geq 1} (V[n_1] \otimes M^{\otimes n_1})^{S_{n_1}} \otimes \dots \otimes (V[n_m] \otimes M^{\otimes n_m})^{S_{n_m}} \\ & \cong \left(\bigoplus_{n \geq 1} (V[n] \otimes M^{\otimes n})^{S_n} \right)^{\otimes m}, \end{aligned}$$

we can apply (4.12) again to transform our expression for $F_{U \circ V}(M)$ into

$$\bigoplus_{m \geq 0} \left(U([m]_r) \otimes \left(\bigoplus_{n \geq 1} (V[n] \otimes M^{\otimes n})^{S_n} \right)^{\otimes m} \right)^{W(r, m)},$$

which is $F_U(F_V^{(r)}(M))$ by definition. \square

Now we come to the main result of this section (another generalization of Joyal's result in [7, Section 4.4]), which shows that the second kind of substitution corresponds to the more interesting kind of plethysm.

Theorem 4.9. *If U is a \mathbf{B}_r -module, and V is a \mathbf{B}_1 -module with $V(\emptyset) = \{0\}$, then $\text{ch}(U \circ V) = \text{ch}(U) \circ \text{ch}(V)$.*

Proof. Since $\text{ch}(U \circ V)$ and $\text{ch}(U) \circ \text{ch}(V)$ are the limits as $N \rightarrow \infty$ of $\text{ch}(U_{\leq N} \circ V_{\leq N})$ and $\text{ch}(U_{\leq N}) \circ \text{ch}(V_{\leq N})$ respectively, it suffices to prove this when U and V are bounded. Then, as before, it suffices to show that $\text{ch}(U \circ V)$ and $\text{ch}(U) \circ \text{ch}(V)$ become equal under every specialization of the form $p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)$, where $M \in \mathbb{C}\mu_r\text{-mod}$ and $\varphi \in \text{End}(M)$ commutes with the μ_r -action. Now using Proposition 4.3 and Theorem 4.8,

$$\begin{aligned} \text{ch}(U \circ V)|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)} &= \text{tr}(F_{U \circ V}(\varphi), F_{U \circ V}(M)) \\ &= \text{tr}(F_U(F_V^{(r)}(\varphi)), F_U(F_V^{(r)}(M))) \\ &= \text{ch}(U)|_{p_i(\zeta) \rightarrow \text{tr}(F_V^{(r)}(\varphi^i \zeta), F_V^{(r)}(M))} \\ &= \text{ch}(U)|_{p_i(\zeta) \rightarrow \text{tr}(F_V(\varphi^i \zeta), F_V(M))} \\ &= \text{ch}(U)|_{p_i(\zeta) \rightarrow \text{ch}(V)|_{p_j \rightarrow \text{tr}(\varphi^{ij} \zeta^j, M)}} \\ &= (\text{ch}(U) \circ \text{ch}(V))|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)}, \end{aligned}$$

as required. \square

Corollary 4.10. *If A is an r -species, and B is a species with $B(\emptyset) = \emptyset$, then $Z_{A \circ B} = Z_A \circ Z_B$.*

Proof. Since $H^0(A \circ B) \cong H^0A \circ H^0B$, this is an immediate consequence. \square

Note that all the results of this section would remain true if μ_r were replaced by any finite group G (and \mathbf{B}_r by the category of finite sets with a free G -action and bijections respecting these actions, etc.) Thus there is a theory of “($G \wr \mathbb{S}$)-modules” for all finite groups G . I do not know any uses for this more general concept.

5. STRATIFICATIONS AND TREES

We now begin the proof of Theorems 2.3 and 2.4, by analysing the nested-set stratifications defined in [2]. It is convenient to adopt a functorial viewpoint, similar to that of r -species, but with the target category \mathbf{B}_1 replaced by the category \mathbf{Var}_1 of complex varieties and isomorphisms. We will call a functor $\mathbf{B}_r \rightarrow \mathbf{Var}_1$ a \mathbf{B}_r -variety. Since a finite set can be regarded as a complex variety, this notion actually includes that of r -species. If $A(r)$ is a \mathbf{B}_r -variety, we will use the notation $A(r, n)$ for $A(r, [n]_r)$, which is a variety with an action of $W(r, n)$.

We first show that the varieties we have already introduced fit into this pattern. For any object I of \mathbf{B}_r , define

$$V(r, I) := \begin{cases} \mathbb{C}^I / \{\text{constant fns}\}, & \text{if } r = 1, \text{ and} \\ \{(z_i) \in \mathbb{C}^I \mid z_{\zeta \cdot i} = \zeta z_i, \forall i \in I, \zeta \in \mu_r\}, & \text{if } r \geq 2. \end{cases}$$

(If $I = \emptyset$, we interpret this to mean $\{0\}$ in either case.) With the obvious definition on morphisms, this gives us a \mathbf{B}_r -variety $V(r)$ (whose values happen to be finite-dimensional vector spaces). We can identify $V(r, n) := V(r, [n]_r)$ with the vector space denoted $V(r, n)$ in the Introduction, by the map sending $(z_{(\zeta, j)})_{\zeta \in \mu_r, j \in [n]}$ to $(z_{(1, j)})_{j \in [n]}$.

Similarly, we define an r -species $\mathcal{A}(r)$ by letting $\mathcal{A}(r, I)$ be the set of hyperplanes of $V(r, I)$ which are given by an equation of the form $z_i = z_{i'}$ for some $i \neq i'$ in I . It is easy to see that this coheres with the previous definition of $\mathcal{A}(r, n)$; for instance, the hyperplanes given by $z_i = z_{i'}$ where i and i' are in the same μ_r -orbit correspond to those in the previous definition where one of the coordinates is 0.

Finally, define \mathbf{B}_r -varieties $\mathcal{M}(r), \overline{\mathcal{M}}(r)$ by letting $\mathcal{M}(r, I)$ be the complement in $\mathbb{P}(V(r, I))$ of the hyperplanes in $\mathcal{A}(r, I)$ (this is empty if $V(r, I) = \{0\}$), and $\overline{\mathcal{M}}(r, I)$ its De Concini-Procesi compactification as defined in the Introduction. For convenience in stating Theorem 5.1 below, we stipulate that if $|I| = 1$, $\overline{\mathcal{M}}(1, I)$ is actually a single point, not the empty set.

In this paper, a *stratification* of a complex variety X will mean a quadruple $(A, \{X_\alpha\}_{\alpha \in A}, \{Y_\alpha\}_{\alpha \in A}, \{\phi_\alpha\}_{\alpha \in A})$ such that:

- (1) A is a finite set;
- (2) for each $\alpha \in A$, the *stratum* X_α is a locally closed subvariety of X ;
- (3) X is the disjoint union of all X_α 's;
- (4) the closure of each X_α in X is a union of strata;
- (5) for each $\alpha \in A$, Y_α is a complex variety and $\phi_\alpha : X_\alpha \xrightarrow{\sim} Y_\alpha$ is an isomorphism.

If X is a \mathbf{B}_r -variety, a stratification of X is defined in the same way, but with all the data depending functorially on an object I of \mathbf{B}_r . Explicitly, it consists of an r -species A , a stratification of $X(I)$ for all I in which the indexing set is $A(I)$, and collections of isomorphisms

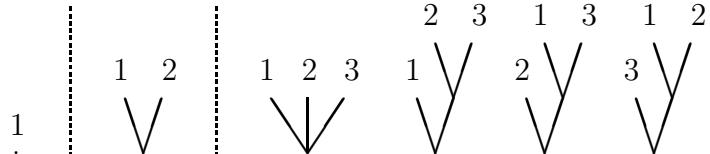
$$X(f)_\alpha : X_\alpha \xrightarrow{\sim} X_{A(f)(\alpha)}, \quad Y(f)_\alpha : Y_\alpha \xrightarrow{\sim} Y_{A(f)(\alpha)},$$

for any morphism $f : I \xrightarrow{\sim} J$ in \mathbf{B}_r and $\alpha \in A(I)$. These must satisfy the conditions that $X(f) : X(I) \xrightarrow{\sim} X(J)$ restricts to $X(f)_\alpha$ on X_α , and $\phi_{A(f)(\alpha)} \circ X(f)_\alpha = Y(f)_\alpha \circ \phi_\alpha$, for all f and α . Given such a stratification of the \mathbf{B}_r -variety X , we can define a \mathbf{B}_r -variety Y by the rule

$$Y(I) = \coprod_{\alpha \in A(I)} Y_\alpha,$$

using the isomorphisms $Y_{f,\alpha}$ to define Y on morphisms. We can regard Y as being the \mathbf{B}_r -variety obtained by “cutting X into pieces” according to the stratifications. We will say for short that we have a stratification $X \dashv Y$ of \mathbf{B}_r -varieties, leaving the other data understood.

Define a species $\mathcal{T}(1) : \mathbf{B}_1 \rightarrow \mathbf{B}_1$ by letting $\mathcal{T}(1, I)$ be the set of isomorphism classes of rooted trees, endowed with a bijection between I and the set of leaves, and satisfying the condition that every internal (i.e. non-leaf) vertex has at least two edges in its fibre (i.e. incident with the vertex and leading away from the root). As the following pictures show, $|\mathcal{T}(1, 0)| = 0$, $|\mathcal{T}(1, 1)| = 1$, $|\mathcal{T}(1, 2)| = 1$, and $|\mathcal{T}(1, 3)| = 4$.



Abusing notation slightly, we will usually regard $\mathcal{T}(1, I)$ as a set of trees representing the isomorphism classes rather than the set of classes themselves. For $T \in \mathcal{T}(1, I)$, we will write $\text{Int}(T)$ for the set of internal vertices, and for every $v \in \text{Int}(T)$, $\text{Fibre}(v)$ for the fibre of v .

If X is a \mathbf{B}_1 -variety, define a \mathbf{B}_1 -variety $\mathbb{T}X$ by the rule

$$\mathbb{T}X(I) = \coprod_{T \in \mathcal{T}(1, I)} \prod_{v \in \text{Int}(T)} X(\text{Fibre}(v)).$$

When $|I| = 1$, this is an empty product, i.e. a single point. To illustrate this definition, suppose $I = [3]$. The terms of the disjoint union corresponding to the four elements of $\mathcal{T}(1, 3)$ are isomorphic to $X[3]$, $X[2] \times X[2]$, $X[2] \times X[2]$, and $X[2] \times X[2]$ respectively. But the key point is how these varieties are treated functorially: for instance, the transposition $(1 \ 2) \in S_3$ permutes the second and third varieties, because it interchanges the corresponding trees; it fixes the fourth variety, acting on the $X[2]$ factor corresponding to the root as the identity, and on the other $X[2]$ factor as $(1 \ 2)$, since that is how the corresponding automorphism of this tree acts on the fibres of the root and of the other vertex.

The following result is well known in the moduli space context (see [14, Chapter III, §2.8]). It is also deducible as a special case of the results of [2], in a similar way to the next Theorem.

Theorem 5.1. *We have a stratification $\overline{\mathcal{M}}(1) \dashv \mathbb{T}\mathcal{M}(1)$ of \mathbf{B}_1 -varieties.*

This Theorem says in part that each variety $\overline{\mathcal{M}}(1, n)$ has a stratification where the strata are indexed by $\mathcal{T}(1, n)$, and the stratum corresponding to a tree T is isomorphic to $\prod_{v \in \text{Int}(T)} \mathcal{M}(1, \text{Fibre}(v))$. Crucially, it also says that these strata are permuted and acted upon by S_n according to its action on these trees.

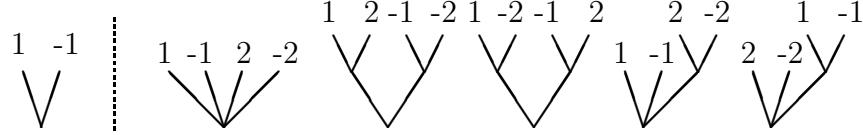
Intuitively, one can think of $\overline{\mathcal{M}}(1, n)$ as the set of ways in which n distinct points in the complex plane can “collapse to the origin”: the vertices of a tree in $\mathcal{T}(1, n)$, read from the leaves to the root, record the order in which the points coalesce, and the varieties $\mathcal{M}(1, \text{Fibre}(v))$ record the relative configuration of each set of points “just before” they coincide.

Now suppose $r \geq 2$. Define an r -species $\mathcal{T}(r) : \mathbf{B}_r \rightarrow \mathbf{B}_1$ by letting $\mathcal{T}(r, I)$ be the subset of $\mathcal{T}(1, I)$ consisting of (isomorphism classes of) trees T satisfying the following extra conditions:

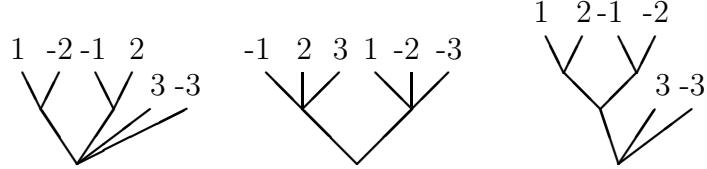
- (1) T is μ_r -stable, i.e. the action of μ_r on the leaves of T extends to an action of μ_r on T by rooted tree automorphisms;
- (2) every μ_r -fixed vertex of T has at most one μ_r -fixed edge in its fibre;
- (3) for every μ_r -fixed vertex, μ_r acts freely on the set of edges in the fibre which are not μ_r -fixed.

Note that condition (2) implies that the μ_r -fixed vertices lie on a single unbranched path from the root; condition (3) then implies that μ_r acts

freely on the set of non- μ_r -fixed vertices. For example, the following pictures show that $|\mathcal{T}(2, 1)| = 1$ and $|\mathcal{T}(2, 2)| = 5$ (we have written the elements of $[n]_2$ as $\pm i$ rather than $(\pm 1, i)$).



Here are three of the 47 elements of $\mathcal{T}(2, 3)$:



For $T \in \mathcal{T}(r, I)$, write $\text{Int}(T)^{\mu_r}$ for the set of μ_r -fixed vertices of T (which must all be internal). If $v \in \text{Int}(T)^{\mu_r}$, let $\text{Fibre}(v)^\circ$ be the set of edges in the fibre which are not μ_r -fixed; by (3), this is an object of \mathbf{B}_r . Write $\text{Orb}(T)$ for the set of μ_r -orbits in the set of non- μ_r -fixed vertices in $\text{Int}(T)$.

If X is a \mathbf{B}_r -variety and Y is a \mathbf{B}_1 -variety, define a \mathbf{B}_r -variety $\mathbb{T}_r(X, Y)$ by the rule

$$\mathbb{T}_r(X, Y)(I) = \coprod_{T \in \mathcal{T}(r, I)} \left(\prod_{v \in \text{Int}(T)^{\mu_r}} X(\text{Fibre}(v)^\circ) \times \prod_{\mathcal{O} \in \text{Orb}(T)} Y(\text{Fibre}(\mathcal{O})) \right),$$

where $Y(\text{Fibre}(\mathcal{O}))$ denotes the limit of all $Y(\text{Fibre}(v))$ for $v \in \mathcal{O}$: in other words,

$$\{(y_v) \in \prod_{v \in \mathcal{O}} Y(\text{Fibre}(v)) \mid y_{\zeta \cdot v} = Y(\alpha_{\zeta, v})(y_v), \forall \zeta \in \mu_r, v \in \mathcal{O}\},$$

where $\alpha_{\zeta, v} : \text{Fibre}(v) \xrightarrow{\sim} \text{Fibre}(\zeta \cdot v)$ is the bijection induced by the μ_r -action on T .

Theorem 5.2. *We have a stratification $\overline{\mathcal{M}}(r) \dashv \mathbb{T}_r(\mathcal{M}(r), \mathcal{M}(1))$ of \mathbf{B}_r -varieties.*

As in the $r = 1$ case, one can think intuitively of $\overline{\mathcal{M}}(r, n)$ as the set of ways of collapsing n points in \mathbb{C} to the origin, except that now we care about the μ_r -orbits of the points, not just the points themselves. For example, the following is the sequence of events in the stratum corresponding to the first element of $\mathcal{T}(2, 3)$ depicted above.

- (1) The first point and the negative of the second point coalesce, which forces their negatives to coalesce also; the configuration of

either coalescing pair is recorded as a point (rather, the unique point) of $\mathcal{M}(1, 2)$.

(2) The two new combined points and the original third point and its negative coalesce, i.e. all become zero; the configuration of these two μ_2 -orbits is recorded as a point of $\mathcal{M}(2, 2)$.

In general, the μ_r -fixed vertices represent the coalescing of points at the origin, and the non- μ_r -fixed vertices represent the coalescing of points away from the origin (occurring simultaneously in μ_r -orbits); this explains conditions (2) and (3) in the definition of $\mathcal{T}(r, I)$.

Theorem 5.2 is deduced from [2] as follows.

Proof. First consider the general situation of an essential irreducible arrangement \mathcal{A} in a vector space V . Let \mathcal{M} , $\overline{\mathcal{M}}$, \mathcal{F} be as in the Introduction. The results of [2, §4.2, 4.3] are stated in terms of the building set \mathcal{G} , which in our case is the set of subspaces of V^* perpendicular to the elements of \mathcal{F} . It is easy to translate them into the following statements about \mathcal{F} :

- (1) The irreducible components of $\overline{\mathcal{M}} \setminus \mathcal{M}$ are in bijection with the set $\mathcal{F}^* := \mathcal{F} \setminus \{\{0\}\}$. If \overline{D}_X denotes the component corresponding to $X \in \mathcal{F}^*$, then the set of points in $\overline{\mathcal{M}}(r, I)$ whose image in $\mathbb{P}(V)$ lies in $\mathbb{P}(X)$ is precisely $\bigcup_{Y \in \mathcal{F}^*, Y \subseteq X} \overline{D}_Y$.
- (2) We call a subset $\mathcal{S} \subseteq \mathcal{F}$ *nested* if for all collections $\{X_1, \dots, X_s\}$, $s \geq 2$, of pairwise incomparable elements of \mathcal{S} , $\bigcap_{i=1}^s X_i \notin \mathcal{F}$. (The empty subset and singleton sets are automatically nested.) If $\mathcal{S} \subseteq \mathcal{F}^*$, then

$$\bigcap_{X \in \mathcal{S}} \overline{D}_X \neq \emptyset \iff \mathcal{S} \text{ is nested.}$$

(3) Hence we have a disjoint union

$$\overline{\mathcal{M}} = \bigcup_{\substack{\mathcal{S} \subseteq \mathcal{F}^* \\ \mathcal{S} \text{ nested}}} \mathcal{M}_{\mathcal{S}},$$

where $\mathcal{M}_{\mathcal{S}} = \{x \in \overline{\mathcal{M}} \mid \mathcal{S} = \{X \in \mathcal{F}^* \mid x \in \overline{D}_X\}\}$. Note that $\mathcal{M}_{\emptyset} = \mathcal{M}$.

(4) If $\mathcal{S} \subseteq \mathcal{F}^*$ is nested, $\mathcal{M}_{\mathcal{S}} \cong \prod_{X \in \mathcal{S} \cup \{\{0\}\}} \mathcal{M}_{\mathcal{S}, X}$, where $\mathcal{M}_{\mathcal{S}, X}$ is the projectivized hyperplane complement of the arrangement $\mathcal{A}_{\mathcal{S}, X}$ in $(\bigcap_{Y \in \mathcal{S}, Y \supset X} Y)/X$ induced by those hyperplanes in \mathcal{A} which contain X .

(This last point requires a little thought, since [2, Theorem 4.3] is stated in terms of $\overline{\mathcal{M}}_{\mathcal{S}} = \bigcap_{X \in \mathcal{S}} \overline{D}_X$ instead of $\mathcal{M}_{\mathcal{S}}$.)

To prove the Theorem, we must unravel what all this means in the case $\mathcal{A} = \mathcal{A}(r, I)$. Most of the details will be left to the reader. If J is an μ_r -stable subset of I , let

$$X_J := \{(z_i) \in V(r, I) \mid z_j = 0, \forall j \in J\}.$$

If in addition $\pi = \{J_1, \dots, J_r\}$ is a partition of J on which μ_r acts freely and transitively, let

$$X_{J, \pi} = \{(z_i) \in V(r, I) \mid z_j = z_{j'}, \forall j, j' \in J_k, 1 \leq k \leq r\}.$$

It is not hard to see that

$$\mathcal{F} = \{X_J \mid J \neq \emptyset\} \cup \{X_{J, \pi} \mid |J| \geq 2r\}.$$

(Note that $\{0\} = X_I$.) It is also straightforward to show that $\mathcal{S} \subseteq \mathcal{F}^*$ is nested if and only if the following conditions hold:

- (1) the subsets $J \subset I$ such that $X_J \in \mathcal{S}$ are totally ordered;
- (2) if $X_J, X_K \in \mathcal{S}$, then either $J \cap K = \emptyset$ or $J \supseteq K$;
- (3) if $X_{J, \pi}, X_{K, \pi'} \in \mathcal{S}$, then either $J \cap K = \emptyset$ or one of $X_{J, \pi}, X_{K, \pi'}$ contains the other.

(The $r = 2$ case is contained in [16, §4].)

Now let $T \in \mathcal{T}(r, I)$. For any $v \in \text{Int}(T)$, let $J_v \subseteq I$ be the subset corresponding to the leaves of the subtree with root v . If $v \in \text{Int}(T)^{\mu_r}$, then J_v is μ_r -stable (if v is the root, $J_v = I$). If $\mathcal{O} \in \text{Orb}(T)$, then $J_{\mathcal{O}} := \bigcup_{v \in \mathcal{O}} J_v$ is μ_r -stable and $\pi_{\mathcal{O}} := \{J_v \mid v \in \mathcal{O}\}$ is a partition of $J_{\mathcal{O}}$ on which μ_r acts freely and transitively. It is easy to show that

$$\mathcal{S}_T := \{X_{J_v} \mid v \in \text{Int}(T)^{\mu_r} \text{ not the root}\} \cup \{X_{J_{\mathcal{O}}, \pi_{\mathcal{O}}} \mid \mathcal{O} \in \text{Orb}(T)\}$$

is nested, and that this gives a bijection between $\mathcal{T}(r, I)$ and the set of nested subsets of \mathcal{F}^* . (The tree in which the root is the sole internal vertex corresponds to the empty set.) Writing \mathcal{M}_T for $\mathcal{M}_{\mathcal{S}_T}$ gives us a disjoint union

$$(5.1) \quad \overline{\mathcal{M}}(r, I) = \bigcup_{T \in \mathcal{T}(r, I)} \mathcal{M}_T.$$

Now we express \mathcal{M}_T as a product over $\text{Int}(T)^{\mu_r} \amalg \text{Orb}(T)$ using property (4) above. If $v \in \text{Int}(T)^{\mu_r}$, then the elements $Y \in \mathcal{S}_T$ such that $Y \supseteq X_{J_v}$ are precisely the X_{J_v} and $X_{J_{\mathcal{O}}, \pi_{\mathcal{O}}}$ such that the vertex v' or the orbit \mathcal{O} lies in the subtree with root v . Among these, the minimal subspaces containing X_{J_v} strictly are those corresponding to v' or \mathcal{O} lying in the fibre of v . Hence one gets that the arrangement $\mathcal{A}_{\mathcal{S}_T, X_{J_v}}$ is of type $\mathcal{A}(r, \text{Fibre}(v)^\circ)$. Similarly, for $\mathcal{O} \in \text{Orb}(T)$ the arrangement

$\mathcal{A}_{S_T, X_{J_O, \pi_O}}$ is of type $\mathcal{A}(1, \text{Fibre}(\mathcal{O}))$. Hence

$$(5.2) \quad \mathcal{M}_T \cong \prod_{v \in \text{Int}(T)^{\mu_r}} \mathcal{M}(r, \text{Fibre}(v)^\circ) \times \prod_{\mathcal{O} \in \text{Orb}(T)} \mathcal{M}(1, \text{Fibre}(\mathcal{O})).$$

Finally, one can verify that (5.1) and (5.2) constitute a stratification of \mathbf{B}_r -varieties. \square

Theorems 5.1 and 5.2 motivate us to examine the operations \mathbb{T} and \mathbb{T}_r more closely. In particular, we want to relate them to the operations of addition, multiplication, and substitution for \mathbf{B}_r -varieties, which are defined in exactly the same way as for r -species (using the usual disjoint union and product of varieties). We will thus obtain equations which capture the recursive nature of these trees.

The following result is one of many variants of [1, §3.1, Theorem 2], a key step in combinatorial Lagrange Inversion.

Proposition 5.3. *We have the following isomorphism of species:*

$$\mathcal{T}(1) \cong E(1)_1 + (E(1)_{\geq 2} \circ \mathcal{T}(1)).$$

Proof. The first term on the right-hand side takes care of the case that $|I| = 1$. Otherwise, any tree $T \in \mathcal{T}(1, I)$ can be split at the root to create an assembly of two or more smaller trees: more formally, there is a unique partition $\pi_T \in \text{Par}(I)$ and unique $T_J \in \mathcal{T}(1, J)$ for all $J \in \pi_T$, such that T is obtained by joining all the roots of the trees T_J to a new vertex which then becomes the root. By definition of substitution of species, this is exactly encapsulated in the second term on the right-hand side. \square

Applying Corollary 4.10 (or rather the $r = 1$ case of it, which is Joyal's result), we deduce:

$$(5.3) \quad Z_{\mathcal{T}(1)} = p_1 + Z_{E(1)_{\geq 2}} \circ Z_{\mathcal{T}(1)}.$$

In other words, $Z_{\mathcal{T}(1)}$ is the plethystic inverse of $p_1 - Z_{E(1)_{\geq 2}}$. As with Theorem 2.3, (5.3) gives a recursive formula for the terms of $Z_{\mathcal{T}(1)}$.

Proposition 5.3 has an “enriched” version, implicit in [6].

Proposition 5.4. *If X is a \mathbf{B}_1 -variety with $X(I) = \emptyset$ for $|I| \leq 1$, we have an isomorphism of \mathbf{B}_1 -varieties*

$$\mathbb{T}X \cong E(1)_1 + (X \circ \mathbb{T}X).$$

Proof. The proof is essentially the same as that of Proposition 5.3, but this time we have to keep track of the varieties $X(\text{Fibre}(v))$ attached to each $v \in \text{Int}(T)$. Again, the first term on the right-hand side takes

care of the case that $|I| = 1$. Otherwise, if π_T and T_J are defined as before, it is clear that

$$\prod_{v \in \text{Int}(T)} X(\text{Fibre}(v)) \cong X(\pi_T) \times \prod_{J \in \pi_T} \prod_{v \in \text{Int}(T_J)} X(\text{Fibre}(v)).$$

So the result follows by definition of substitution. \square

All that remains in order to prove Theorem 2.3 is to translate this isomorphism of \mathbf{B}_r -varieties into an isomorphism of their cohomologies in a suitable category, and apply the appropriate variant of Theorem 4.9. This will be done in the next two sections. For now, note that if X in Proposition 5.4 happens to be a species (i.e. all the varieties are finite sets), then so is $\mathbb{T}X$, and we deduce from Proposition 5.4 that $Z_{\mathbb{T}X}$ is the plethystic inverse of $p_1 - Z_X$.

The $r \geq 2$ case is slightly different.

Proposition 5.5. *For $r \geq 2$, we have the following isomorphism of r -species:*

$$\mathcal{T}(r) \cong (E(r)_0 + \mathcal{T}(r)) \cdot (E(r)_+ \circ \mathcal{T}(1)).$$

Proof. Define a sub- r -species $\mathcal{U}(r)$ of $\mathcal{T}(r)$, by letting $\mathcal{U}(r, I)$ be the set of (isomorphism classes of) trees in $\mathcal{T}(r, I)$ in which the root is the sole μ_r -fixed vertex. Clearly any $T \in \mathcal{T}(r, I)$ which is not in $\mathcal{U}(r, I)$ determines a decomposition $(I_1, I_2) \in \text{Decomp}(I)^{\mu_r}$, a tree $T_1 \in \mathcal{T}(r, I_1)$, and a tree $T_2 \in \mathcal{U}(r, I_2)$, such that T is obtained by joining the root of T_1 to the root of T_2 and making the latter the root of the resulting tree (this new edge then becomes the unique μ_r -fixed edge in the fibre of the root). For $T \in \mathcal{U}(r, I)$, we can think of the decomposition having empty I_1 . Hence

$$\mathcal{T}(r) \cong (E(r)_0 + \mathcal{T}(r)) \cdot \mathcal{U}(r).$$

But if $T \in \mathcal{U}(r, I)$, the partition π_T defined in the proof of Proposition 5.3 clearly lies in $\text{Par}(I)_{\mathbf{B}_r}$, and the subtrees $T_J \in \mathcal{T}(1, J)$ for each $J \in \pi_T$ satisfy $T_J \cong T_{\zeta, J}$ via an isomorphism which acts on the leaves by the action of ζ . So by definition of substitution,

$$\mathcal{U}(r) \cong E(r)_+ \circ \mathcal{T}(1),$$

whence the result. \square

Applying Corollary 4.10, we deduce:

$$(5.4) \quad Z_{\mathcal{T}(r)} = (1 + Z_{\mathcal{T}(r)})(Z_{E(r)_+} \circ Z_{\mathcal{T}(1)}),$$

which means that $1 + Z_{\mathcal{T}(r)}$ is the inverse of $1 - Z_{E(r)_+} \circ Z_{\mathcal{T}(1)}$ in $\mathbb{A}(r)$.

The “enriched” version is as follows:

Proposition 5.6. *If X is a \mathbf{B}_r -variety such that $X(\emptyset) = \emptyset$, and Y is a \mathbf{B}_1 -variety such that $Y(I) = \emptyset$ if $|I| \leq 1$, we have an isomorphism of \mathbf{B}_r -varieties*

$$\mathbb{T}_r(X, Y) \cong (E(r)_0 + \mathbb{T}_r(X, Y)) \cdot (X \circ \mathbb{T}Y).$$

Proof. As with Proposition 5.4, the proof here uses the same combinatorial analysis as in Proposition 5.5. Two points deserve comment: firstly, if $T \in \mathcal{T}(r, I) \setminus \mathcal{U}(r, I)$, the factors in the T -term of $\mathbb{T}_r(X, Y)(I)$ corresponding to vertices in the subtree T_1 are precisely the same as the T_1 -term of $\mathbb{T}_r(X, Y)(I_1)$; and secondly, if $T \in \mathcal{U}(r, I)$, the T -term of $\mathbb{T}_r(A, B)(I)$ is exactly $X(\pi_T) \times \prod_{\mathcal{O} \in \mu_r \setminus \pi_T} \mathbb{T}Y(\mathcal{O})$. \square

Again, if X is in fact an r -species and Y is a species, we deduce that $1 + Z_{\mathbb{T}_r(X, Y)}$ is the inverse of $1 - Z_X \circ Z_{\mathbb{T}Y}$. As for the case we are actually interested in, where $X = \mathcal{M}(r)$ and $Y = \mathcal{M}(1)$, that requires a more technically sophisticated approach.

6. BIGRADED \mathbf{B}_r -MODULES

In order to apply the theory of \mathbf{B}_r -modules to our representations on cohomology of hyperplane complements and their compactifications, we will need a variant of Theorem 4.9 for bigraded \mathbf{B}_r -modules. A *bigrading* on a vector space M means an $(\mathbb{N} \times \mathbb{N})$ -grading, i.e. a direct sum decomposition $M = \bigoplus_{a,b \geq 0} M_{a,b}$. Let $\mathbb{C}\text{-mod}^{\text{bigr}}$ be the category of bigraded finite-dimensional vector spaces, and $\mathbb{C}\mu_r\text{-mod}^{\text{bigr}}$ the category of bigraded representations of μ_r . A *bigraded \mathbf{B}_r -module* is a functor $U : \mathbf{B}_r \rightarrow \mathbb{C}\text{-mod}^{\text{bigr}}$; thus we have direct sum decompositions $U(I) = \bigoplus_{a,b \geq 0} U(I)_{a,b}$ for all $I \in \mathbf{B}_r$, respected by the maps $U(f)$ for $f : I \xrightarrow{\sim} J$. Any ungraded vector space or \mathbf{B}_r -module can be regarded as a bigraded object, concentrated in bidegree $(0,0)$.

If $M \in \mathbb{C}\text{-mod}^{\text{bigr}}$ and $\psi \in \text{End}(M)$ respects the bigrading, define the *bigraded trace*

$$\text{tr}_{q,t}(\psi, M) = \sum_{a,b \geq 0} \text{tr}(\psi|_{M_{a,b}}, M_{a,b}) q^a (-t)^b \in \mathbb{C}[q, t].$$

If U is a bigraded \mathbf{B}_r -module, define its *bigraded characteristic* $\text{ch}_{q,t}(U) \in \mathbb{A}(r)[q, t] := \mathbb{A}(r) \otimes \mathbb{C}[q, t]$ by

$$\begin{aligned} \text{ch}_{q,t}(U) &:= \sum_{n \geq 0} \sum_{a,b \geq 0} \text{ch}_{W(r,n)}(U([n]_r)_{a,b}) q^a (-t)^b \\ &= \sum_{n \geq 0} \frac{1}{r^n n!} \sum_{w \in W(r,n)} \text{tr}_{q,t}(w, U([n]_r)) p_w. \end{aligned}$$

We also write $\text{ch}_q(U)$ for the specialization $\text{ch}_{q,t}(U)|_{t \rightarrow 1}$. Note that sending $t \rightarrow 1$ does not entirely forget the second grading, since there is still the sign $(-1)^b$.

Any bounded bigraded \mathbf{B}_r -module U gives rise to a polynomial functor $F_U^{\text{bigr}} : \mathbb{C}\mu_r\text{-}\mathbf{mod}^{\text{bigr}} \rightarrow \mathbb{C}\text{-}\mathbf{mod}^{\text{bigr}}$, defined on objects by the same formula as before:

$$F_U^{\text{bigr}}(M) := \bigoplus_{n \geq 0} (U([n]_r) \otimes M^{\otimes n})^{W(r,n)}.$$

The bigrading of the tensor product of bigraded vector spaces is defined in the usual way. The novelty we want to introduce is in the action of $W(r, n)$ on $M^{\otimes n}$; this should again be given by the permutation of the tensor factors composed with the actions of μ_r on each, but the permutation should be accompanied by the graded-commutative sign convention with respect to the second of the two gradings. In short, the n th tensor power should be taken in the symmetric monoidal category $g\text{Vect}^-$ of [6, (1.3.18)]; this is the usual category of graded vector spaces, but with a commutativity isomorphism which differs by a sign:

$$v \otimes w \mapsto (-1)^{\deg(v) \deg(w)} w \otimes v,$$

where in our case \deg refers to the second grading. What this means more explicitly is that if $m_s \in M_{a_s, b_s}$ for all $1 \leq s \leq n$, and $\tilde{w} \in S_n$, then

$$\tilde{w}.(m_1 \otimes \cdots \otimes m_n) := \varepsilon(\tilde{w}, (b_i)) m_{\tilde{w}^{-1}(1)} \otimes \cdots \otimes m_{\tilde{w}^{-1}(n)},$$

where $\varepsilon : S_n \times \mathbb{N}^n \rightarrow \{\pm 1\}$ is uniquely defined by

- (1) $\varepsilon(\tilde{y}\tilde{w}, (b_i)) = \varepsilon(\tilde{y}, \tilde{w}.(b_i))\varepsilon(\tilde{w}, (b_i))$ for all $\tilde{y}, \tilde{w} \in S_n$, $(b_i) \in \mathbb{N}^n$, and
- (2) $\varepsilon((k \ k+1), (b_i)) = (-1)^{b_k b_{k+1}}$.

The analogue of Proposition 4.3 is:

Proposition 6.1. *If U is a bounded bigraded \mathbf{B}_r -module, $M \in \mathbb{C}\mu_r\text{-}\mathbf{mod}^{\text{bigr}}$, and $\varphi \in \text{End}(M)$ commutes with the μ_r -action and respects the bigrading, then*

$$\text{ch}_{q,t}(U)|_{p_i(\zeta) \rightarrow \text{tr}_{q,t}(\varphi^i \zeta, M)}|_{q \rightarrow q^i, t \rightarrow t^i} = \text{tr}_{q,t}(F_U^{\text{bigr}}(\varphi), F_U^{\text{bigr}}(M)).$$

Proof. The proof is virtually identical to that of Proposition 4.3; (4.11) now becomes the fact that when w consists of a single cycle of length n and type ζ ,

$$(6.1) \quad \text{tr}_{q,t}(\varphi^n \zeta, M)|_{q \rightarrow q^n, t \rightarrow t^n} = \text{tr}_{q,t}(w \varphi^{\otimes n}, M^{\otimes n}).$$

By the same argument as before, it suffices to prove this when M is one-dimensional, say of bidegree (a, b) . Let $x = \text{tr}(\varphi, M)$ and $y = \text{tr}(\zeta, M)$.

By our conventions, $M^{\otimes n}$ has bidegree (na, nb) , and $\text{tr}(w, M^{\otimes n}) = (-1)^{b(n-1)}y$, since if $\tilde{w} \in S_n$ is the n -cycle corresponding to w ,

$$\varepsilon(\tilde{w}, (b, \dots, b)) = (-1)^{b(n-1)}.$$

Thus (6.1) asserts that

$$x^n y (q^n)^a (-t^n)^b = x^n (-1)^{b(n-1)} y q^{na} (-t)^{nb},$$

which is true. \square

A special case of this Proposition is that if M is ungraded (i.e. concentrated in bidegree $(0, 0)$),

$$(6.2) \quad \text{ch}_{q,t}(U)|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)} = \text{tr}_{q,t}(F_U^{\text{bigr}}(\varphi), F_U^{\text{bigr}}(M)).$$

The bigrading on $F_U^{\text{bigr}}(M)$ in this case comes purely from that on U .

The sum and product of bigraded \mathbf{B}_r -modules are bigraded in the obvious way.

Proposition 6.2. *If U, V are bounded bigraded \mathbf{B}_r -modules, then*

$$F_{U+V}^{\text{bigr}} \cong F_U^{\text{bigr}} \oplus F_V^{\text{bigr}}, \quad F_{U \cdot V}^{\text{bigr}} \cong F_U^{\text{bigr}} \otimes F_V^{\text{bigr}},$$

$$\text{ch}_{q,t}(U+V) = \text{ch}_{q,t}(U) + \text{ch}_{q,t}(V), \quad \text{ch}_{q,t}(U \cdot V) = \text{ch}_{q,t}(U)\text{ch}_{q,t}(V).$$

Proof. The isomorphisms of polynomial functors are proved in the same way as Proposition 4.4. The equalities of bigraded characteristics can either be deduced from these using Proposition 6.1, or proved directly. \square

Corollary 6.3. $\text{ch}_q(U+V) = \text{ch}_q(U) + \text{ch}_q(V)$, $\text{ch}_q(U \cdot V) = \text{ch}_q(U)\text{ch}_q(V)$.

Proof. Simply specialize $t \rightarrow 1$ in Proposition 6.2. \square

If U is a bigraded \mathbf{B}_r -module and V a bigraded \mathbf{B}_1 -module, we define the bigraded \mathbf{B}_r -module $U \circ V$ by the same formula as before:

$$(U \circ V)(I) = \bigoplus_{\pi \in \text{Par}(I)_{\mathbf{B}_r}} \left(U(\pi) \otimes \bigotimes_{\mathcal{O} \in \mu_r \setminus \pi} V(\mathcal{O}) \right),$$

but incorporating the above sign convention on the tensor product $\bigotimes_{\mathcal{O} \in \mu_r \setminus \pi} V(\mathcal{O})$. That is, if $f : I \xrightarrow{\sim} I$ is an endomorphism in \mathbf{B}_r preserving $\pi \in \text{Par}(I)_{\mathbf{B}_r}$, then the action of $(U \circ V)(f)$ on $\bigotimes_{\mathcal{O} \in \mu_r \setminus \pi} V(\mathcal{O})_{a_{\mathcal{O}}, b_{\mathcal{O}}}$ should have a sign $\varepsilon(\tilde{w}_f, (b_{\mathcal{O}_1}, \dots, b_{\mathcal{O}_{|\mu_r \setminus \pi|}}))$, where $\mathcal{O}_1, \dots, \mathcal{O}_{|\mu_r \setminus \pi|}$ is any ordering of the μ_r -orbits in π and \tilde{w}_f is the permutation of them induced by f .

With $F_V^{(r), \text{bigr}} : \mathbb{C}\mu_r\text{-mod}^{\text{bigr}} \rightarrow \mathbb{C}\mu_r\text{-mod}^{\text{bigr}}$ being the functor induced by F_V^{bigr} , we have the analogue of Theorem 4.8:

Theorem 6.4. *If U is a bounded bigraded \mathbf{B}_r -module, and V is a bounded bigraded \mathbf{B}_1 -module with $V(\emptyset) = \{0\}$, then $F_{U \circ V}^{\text{bigr}} \cong F_U^{\text{bigr}} \circ F_V^{(r), \text{bigr}}$.*

Proof. The proof is the same as that of Theorem 4.8; the reader can check that the signs we have introduced are consistent. \square

Finally we come to the bigraded analogue of Theorem 4.9. We define plethysm $\circ : \mathbb{A}(r)[q, t] \times \mathbb{A}(1)[q, t]_+ \rightarrow \mathbb{A}(r)[q, t]$ in the same way as in §1, with the extra rule that $p_i(\zeta) \circ t = t^i$.

Theorem 6.5. *If U is a bigraded \mathbf{B}_r -module, and V is a bigraded \mathbf{B}_1 -module with $V(\emptyset) = \{0\}$, then $\text{ch}_{q,t}(U \circ V) = \text{ch}_{q,t}(U) \circ \text{ch}_{q,t}(V)$.*

Proof. As with Theorem 4.9, it suffices to prove this when U and V are bounded, and in that case to prove that the two sides become equal under every specialization of the form $p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)$ for M and ϕ (ungraded) as above. Using Proposition 6.1, (6.2), and Theorem 6.4, we have:

$$\begin{aligned} \text{ch}_{q,t}(U \circ V)|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)} &= \text{tr}_{q,t}(F_{U \circ V}^{\text{bigr}}(\varphi), F_{U \circ V}^{\text{bigr}}(M)) \\ &= \text{tr}_{q,t}(F_U^{\text{bigr}}(F_V^{(r), \text{bigr}}(\varphi)), F_U^{\text{bigr}}(F_V^{(r), \text{bigr}}(M))) \\ &= \text{ch}_{q,t}(U)|_{p_i(\zeta) \rightarrow \text{tr}_{q,t}(F_V^{(r), \text{bigr}}(\varphi^i \zeta), F_V^{(r), \text{bigr}}(M))|_{q \rightarrow q^i, t \rightarrow t^i}} \\ &= \text{ch}_{q,t}(U)|_{p_i(\zeta) \rightarrow \text{tr}_{q,t}(F_V^{\text{bigr}}(\varphi^i \zeta), F_V^{\text{bigr}}(M))|_{q \rightarrow q^i, t \rightarrow t^i}} \\ &= \text{ch}_{q,t}(U)|_{p_i(\zeta) \rightarrow \text{ch}_{q,t}(V)|_{p_j \rightarrow \text{tr}(\varphi^{ij} \zeta^j, M), q \rightarrow q^i, t \rightarrow t^i}} \\ &= (\text{ch}_{q,t}(U) \circ \text{ch}_{q,t}(V))|_{p_i(\zeta) \rightarrow \text{tr}(\varphi^i \zeta, M)}, \end{aligned}$$

as required. \square

Corollary 6.6. *With U and V as above, $\text{ch}_q(U \circ V) = \text{ch}_q(U) \circ \text{ch}_q(V)$.*

Proof. Clearly the specialization $t \rightarrow 1$ respects plethysm. \square

7. PROOF OF THEOREMS 2.3 AND 2.4

Call a complex variety X *Hodge-even* if each nonzero cohomology group $H_c^s(X, \mathbb{C})$ is a direct sum of pure Hodge structures with even weights. As mentioned already in §2, this holds if X is a hyperplane complement (in which case $H_c^s(X, \mathbb{C})$ is pure of weight $2s - 2 \dim X$), or if X is a nonsingular projective variety with no odd cohomologies (in which case $H^{2s}(X, \mathbb{C})$ is pure of weight $2s$). When X is Hodge-even, we will regard the total cohomology $H_c^\bullet(X, \mathbb{C})$ as a bigraded vector space, where the t -grading is given by degree, and the q -grading is half the Hodge weight.

We say a \mathbf{B}_r -variety X is Hodge-even if every $X(I)$ is. In this case we obtain a bigraded \mathbf{B}_r -module $H_c^\bullet X$ by the rule $H_c^\bullet X(I) := H_c^\bullet(X(I), \mathbb{C})$. (Every isomorphism between two varieties induces an isomorphism of their cohomologies, preserving Hodge weights.) If all $X(I)$ are projective, we will write $H^\bullet X$ instead of $H_c^\bullet X$. For instance, if X is actually an r -species (i.e. all $X(I)$ are finite), $H^\bullet X$ is just $H^0 X$, placed in bidegree $(0, 0)$. More importantly, we have bigraded \mathbf{B}_r -modules $H_c^\bullet \mathcal{M}(r)$ and $H^\bullet \overline{\mathcal{M}}(r)$. Clearly we can interpret the series $\mathcal{P}(r)$ and $\overline{\mathcal{P}}(r)$ defined in §2 as bigraded characteristics with t set to 1:

$$\begin{aligned}\mathcal{P}(r) &= \text{ch}_q(H_c^\bullet \mathcal{M}(r)), \\ \overline{\mathcal{P}}(r) &= \text{ch}_q(H^\bullet \overline{\mathcal{M}}(r)).\end{aligned}$$

By the Künneth Theorem in mixed Hodge theory, if X_1 and X_2 are two Hodge-even varieties, we have an isomorphism

$$H_c^\bullet(X_1 \times X_2, \mathbb{C}) \cong H_c^\bullet(X_1, \mathbb{C}) \otimes H_c^\bullet(X_2, \mathbb{C})$$

of bigraded vector spaces. If $X_1 = X_2 = X$, the tensor product is graded-commutative with respect to the degree grading. Thus in the isomorphism $H_c^\bullet(X^n, \mathbb{C}) \cong H_c^\bullet(X, \mathbb{C})^{\otimes n}$, the action of S_n on the left corresponds to the permutation of factors on the right, together with the sign convention used in the previous section. As a result, we have the following:

Proposition 7.1. (1) *Let X and Y be Hodge-even \mathbf{B}_r -varieties. Then $X + Y$ and $X \cdot Y$ are Hodge-even, and*

$$H_c^\bullet(X + Y) \cong H_c^\bullet X + H_c^\bullet Y, \quad H_c^\bullet(X \cdot Y) \cong H_c^\bullet X \cdot H_c^\bullet Y.$$

(2) *Let X be a Hodge-even \mathbf{B}_r -variety and Y a Hodge-even \mathbf{B}_1 -variety. Then the \mathbf{B}_r -variety $X \circ Y$ is Hodge-even, and*

$$H_c^\bullet(X \circ Y) \cong H_c^\bullet X \circ H_c^\bullet Y.$$

Here the sum, product, and substitution of bigraded \mathbf{B}_r -modules are defined as in §6. Moreover:

Proposition 7.2. *If $X \dashv Y$ is a stratification of Hodge-even \mathbf{B}_r -varieties, then $\text{ch}_q(H_c^\bullet X) = \text{ch}_q(H_c^\bullet Y)$ in $\mathbb{A}(r)[q]$.*

Proof. Using the long exact sequence of cohomology with compact supports, we see that the disjoint unions $X(I) = \cup_{\alpha \in A(I)} X_\alpha$ induce equalities

$$\sum_i (-1)^i [H_c^i(X(I), \mathbb{C})] = \sum_{\alpha \in A(I)} \sum_i (-1)^i [H_c^i(X_\alpha, \mathbb{C})]$$

in the Grothendieck group of mixed Hodge structures. After replacing $[H_c^i(X_\alpha, \mathbb{C})]$ by $[H_c^i(Y_\alpha, \mathbb{C})]$, the result follows. \square

Note that it is not in general true that $H_c^\bullet X \cong H_c^\bullet Y$; one needs to take alternating sum with respect to degree.

Applying Proposition 7.2 to Theorem 5.1, we get the following equation in $\mathbb{A}(1)[q]$:

$$\overline{\mathcal{P}}(1) = \text{ch}_q(H_c^\bullet \mathbb{T}\mathcal{M}(1)).$$

But by Propositions 5.4 and 7.1, we have an isomorphism of bigraded \mathbf{B}_1 -modules:

$$H_c^\bullet \mathbb{T}\mathcal{M}(1) \cong H^0 E(1)_1 + H_c^\bullet \mathcal{M}(1) \circ H_c^\bullet \mathbb{T}\mathcal{M}(1).$$

Taking ch_q of both sides, and using Corollaries 6.3 and 6.6, we get

$$\overline{\mathcal{P}}(1) = p_1 + \mathcal{P}(1) \circ \overline{\mathcal{P}}(1),$$

which is Theorem 2.3.

If $r \geq 2$, Theorem 5.2 and Proposition 7.2 imply the following equation in $\mathbb{A}(r)[q]$:

$$\overline{\mathcal{P}}(r) = \text{ch}_q(H_c^\bullet \mathbb{T}_r(\mathcal{M}(r), \mathcal{M}(1))).$$

But by Propositions 5.6 and 7.1, we have an isomorphism of bigraded \mathbf{B}_r -modules:

$$\begin{aligned} H_c^\bullet \mathbb{T}_r(\mathcal{M}(r), \mathcal{M}(1)) &\cong \\ &(H^0 E(r)_0 + H_c^\bullet \mathbb{T}_r(\mathcal{M}(r), \mathcal{M}(1))) \cdot (H_c^\bullet \mathcal{M}(r) \circ H_c^\bullet \mathbb{T}\mathcal{M}(1)). \end{aligned}$$

Taking ch_q of both sides, and using Corollaries 6.3 and 6.6, we get

$$\overline{\mathcal{P}}(r) = (1 + \overline{\mathcal{P}}(r))(\mathcal{P}(r) \circ \overline{\mathcal{P}}(1)),$$

which is Theorem 2.4.

8. PROOF OF THEOREMS 2.1 AND 2.2

In this section we use the machinery developed in this paper to give slick proofs of Theorems 2.1 and 2.2. In the case of Theorem 2.1 this was done by Getzler in [4, §5], but as his paper is not published we will include this case here. We need a result from the theory of species (see [1, §2.5]), which says that the plethystic inverse of $Z_{E(1)_+}$ in $\mathbb{A}(1)$ is

$$(8.1) \quad \sum_{d \geq 1} \frac{\mu(d)}{d} \log(1 + p_d).$$

This can be proved easily by direct calculation using the formula (4.1) for $Z_{E(1)}$.

Now define a \mathbf{B}_r -variety $X(r)$ by the rule

$$X(r, I) := \{(z_i) \in \mathbb{C}^I \mid z_{\zeta \cdot i} = \zeta z_i, \forall i \in I, \zeta \in \mu_r\}.$$

(If $I = \emptyset$, this is interpreted to be $\{0\}$.) Thus if $r \geq 2$, $X(r)$ coincides with $V(r)$. Clearly $X(r, n) \cong \mathbb{C}^n$. Define a \mathbf{B}_r -variety $M(r)$ by letting $M(r, I)$ be the complement in $X(r, I)$ of the hyperplanes with equations $z_i = z_{i'}$ for $i \neq i'$ in I . In particular, $M(r, n)$ can be identified with the complement in \mathbb{C}^n of the hyperplanes $\{z_i = \zeta z_j\}$ for $i \neq j \in [n]$, $\zeta \in \mu_r$, and (in the $r \geq 2$ case only) $\{z_i = 0\}$ for $i \in [n]$. We define the bigraded \mathbf{B}_r -modules $H_c^\bullet X(r)$, $H_c^\bullet M(r)$ as in the previous section.

Proposition 8.1. *In $\mathbb{A}(r)[q]$, we have the equation*

$$\text{ch}_q(H_c^\bullet X(r)) = \exp\left(\sum_{i \geq 1} \frac{q^i}{ri} \sum_{\zeta \in \mu_r} p_i(\zeta)\right).$$

Proof. Define a \mathbf{B}_1 -variety X by the rule

$$X(I) = \begin{cases} \mathbb{C}, & \text{if } |I| = 1, \\ \emptyset, & \text{otherwise.} \end{cases}$$

with $X(f)$ being the identity map if $f : I \xrightarrow{\sim} J$, $|I| = |J| = 1$. Obviously $\text{ch}_q(H_c^\bullet X) = qp_1$. Now by the definition of substitution, we have $X(r) \cong E(r) \circ X$, whence $H_c^\bullet X(r) \cong H^0 E(r) \circ H_c^\bullet X$, whence $\text{ch}_q(H_c^\bullet X(r)) = Z_{E(r)} \circ qp_1$. Using the formula (4.1) for $Z_{E(r)}$, we get the result. \square

It is straightforward to compare the hyperplane complement $M(r, I)$ with the projective hyperplane complement $\mathcal{M}(r, I)$. If $I = \emptyset$, $M(r, I)$ consists of a single point whereas $\mathcal{M}(r, I)$ is empty. If $|I| = 1$, $M(1, I)$ is an affine line, whereas $\mathcal{M}(1, I)$ is empty. In all other cases, we have a bundle projection $M(r, I) \rightarrow \mathcal{M}(r, I)$, with fibre $\mathbb{C} \rtimes \mathbb{C}^\times$ if $r = 1$ or \mathbb{C}^\times if $r \geq 2$. Hence

$$(8.2) \quad \text{ch}_q(H_c^\bullet M(r)) = \begin{cases} 1 + qp_1 + q(q-1)\mathcal{P}(1), & \text{if } r = 1, \\ 1 + (q-1)\mathcal{P}(r), & \text{if } r \geq 2. \end{cases}$$

So Theorem 2.1 is equivalent to the following.

Theorem 8.2. *In $\mathbb{A}(1)[q]$, we have the equation*

$$\text{ch}_q(H_c^\bullet M(1)) = \prod_{n \geq 1} (1 + p_n)^{R_n/n},$$

where $R_n = \sum_{d|n} \mu(d)q^{n/d}$.

Proof. Getzler's proof is as follows. We can stratify $X(1, I)$ according to which of the coordinates of the I -tuple are equal. In our terminology, this gives a stratification $X(1) \dashv M(1) \circ E(1)_+$ of \mathbf{B}_1 -varieties.

Applying Propositions 7.2 and 7.1 and Corollary 6.6, we get

$$\begin{aligned}\mathrm{ch}_q(H_c^\bullet X(1)) &= \mathrm{ch}_q(H_c^\bullet M(1) \circ H^0 E(1)_+) \\ &= \mathrm{ch}_q(H_c^\bullet M(1)) \circ Z_{E(1)_+}.\end{aligned}$$

Now taking plethysm on the right with the plethystic inverse of $Z_{E(1)_+}$, given in (8.1), we find that

$$\begin{aligned}\mathrm{ch}_q(H_c^\bullet M(1)) &= \mathrm{ch}_q(H_c^\bullet X(1)) \circ \left(\sum_{d \geq 1} \frac{\mu(d)}{d} \log(1 + p_d) \right) \\ &= \exp\left(\sum_{i \geq 1} \frac{q^i}{i} p_i\right) \circ \left(\sum_{d \geq 1} \frac{\mu(d)}{d} \log(1 + p_d) \right) \\ &= \exp\left(\sum_{i,d \geq 1} \frac{q^i \mu(d)}{id} \log(1 + p_{id})\right) \\ &= \exp\left(\sum_{n \geq 1} \frac{R_n}{n} \log(1 + p_n)\right) \\ &= \prod_{n \geq 1} (1 + p_n)^{R_n/n},\end{aligned}$$

as claimed. \square

Corollary 8.3. *If $w \in S_n$ has a_i cycles of length i , then*

$$\sum_{s=n}^{2n} (-1)^s \mathrm{tr}(w, H_c^s(M(1, n), \mathbb{C})) q^{s-n} = \prod_{i \geq 1} R_i (R_i - i) \cdots (R_i - (a_i - 1)i).$$

Proof. By definition of ch_q , the left-hand side is the order of the centralizer of w multiplied by the coefficient of p_w in $\mathrm{ch}_q(H_c^\bullet M(1))$. By (4.2), the order of the centralizer is $\prod_{i \geq 1} a_i! i^{a_i}$. By Theorem 8.2, the coefficient of $p_w = \prod_{i \geq 1} p_i^{a_i}$ in $\mathrm{ch}_q(H_c^\bullet M(1))$ is $\prod_{i \geq 1} \binom{R_i}{a_i}$. The result follows. \square

Compare [9, Theorem 5.5].

To prove Theorem 2.2 we need to modify this argument only slightly.

Theorem 8.4. *In $\mathbb{A}(r)[q]$ we have the equation*

$$\mathrm{ch}_q(H_c^\bullet M(r)) = \prod_{\substack{n \geq 1 \\ \theta \in \mu_r}} (1 + p_n(\theta))^{R_{r,n,\theta}/rn},$$

where $R_{r,n,\theta} = \sum_{d|n} |\{\zeta \in \mu_r \mid \zeta^d = \theta\}| \mu(d) (q^{n/d} - 1)$.

Proof. We can stratify $X(r, I)$ according to which of the coordinates are zero and which of the others are equal. This gives a stratification

$$X(r) \dashv E(r) \cdot (M(r) \circ E(1)_+)$$

of \mathbf{B}_r -varieties. Applying Propositions 7.2 and 7.1 and Corollaries 6.3 and 6.6, we get

$$\begin{aligned} \mathrm{ch}_q(H_c^\bullet X(r)) &= \mathrm{ch}_q(H^0 E(r) \cdot (H_c^\bullet M(r) \circ H^0 E(1)_+)) \\ &= Z_{E(r)}(\mathrm{ch}_q(H_c^\bullet M(r)) \circ Z_{E(1)_+}). \end{aligned}$$

So

$$\begin{aligned} \mathrm{ch}_q(H_c^\bullet M(r)) &= (\mathrm{ch}_q(H_c^\bullet X(r)) Z_{E(r)}^{-1}) \circ \left(\sum_{d \geq 1} \frac{\mu(d)}{d} \log(1 + p_d) \right) \\ &= \exp \left(\sum_{i \geq 1} \frac{q^i - 1}{ri} \sum_{\zeta \in \mu_r} p_i(\zeta) \right) \circ \left(\sum_{d \geq 1} \frac{\mu(d)}{d} \log(1 + p_d) \right) \\ &= \exp \left(\sum_{i, d \geq 1} \sum_{\zeta \in \mu_r} \frac{(q^i - 1)\mu(d)}{rid} \log(1 + p_{id}(\zeta^d)) \right) \\ &= \exp \left(\sum_{n \geq 1} \sum_{\theta \in \mu_r} \frac{R_{r, n, \theta}}{rn} \log(1 + p_n(\theta)) \right) \\ &= \prod_{\substack{n \geq 1 \\ \theta \in \mu_r}} (1 + p_n(\theta))^{R_{r, n, \theta}/rn}, \end{aligned}$$

as claimed. \square

Corollary 8.5. *If $w \in W(r, n)$ is in the conjugacy class corresponding to $(a_i(\zeta))$, then*

$$\begin{aligned} \sum_{s=n}^{2n} (-1)^s \mathrm{tr}(w, H_c^s(M(r, n), \mathbb{C})) q^{s-n} &= \\ \prod_{\substack{i \geq 1 \\ \zeta \in \mu_r}} R_{r, i, \zeta} (R_{r, i, \zeta} - ri) \cdots (R_{r, i, \zeta} - (a_i(\zeta) - 1)ri). \end{aligned}$$

Proof. Again, the left-hand side is the order of the centralizer of w multiplied by the coefficient of p_w in $\mathrm{ch}_q(H_c^\bullet M(r))$. Using (4.2) and Theorem 8.4, we get the result. \square

Compare the results on this group in [10].

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